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## SURFACE SUBGROUPS OF GRAPH GROUPS

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(Communicated by Warren J. Wong)

**ABSTRACT.** Given a graph  $\Gamma$ , define the group  $F_\Gamma$  to be that generated by the vertices of  $\Gamma$ , with a defining relation  $xy = yx$  for each pair  $x, y$  of adjacent vertices of  $\Gamma$ . In this article, we examine the groups  $F_\Gamma$ , where the graph  $\Gamma$  is an  $n$ -gon, ( $n \geq 4$ ). We use a covering space argument to prove that in this case, the commutator subgroup  $F_\Gamma'$  contains the fundamental group of the orientable surface of genus  $1 + (n - 4)2^{n-3}$ . We then use this result to classify all finite graphs  $\Gamma$  for which  $F_\Gamma'$  is a free group.

To each graph  $\Gamma = (V, E)$ , with vertex set  $V$  and edge set  $E$ , we associate a presentation  $P\Gamma$  whose generators are the elements of  $V$ , and whose relations are  $\{xy = yx | x, y \text{ adjacent vertices of } \Gamma\}$ .  $P\Gamma$  can be regarded as the presentation of a  $k$ -algebra  $k\Gamma$ , of a monoid  $M_\Gamma$ , or of a group  $F_\Gamma$ , called a *graph group*. These objects have been previously studied by various authors [2–8].

Graph groups constitute a subclass of the *Artin groups*. Recall that an Artin group is defined by a presentation whose relations all take the form  $xyx \cdots = yxy \cdots$ , where the two sides have the same length  $n > 1$ , and there is at most one such relation for any pair of generators. To each such presentation we can associate a labeled graph  $\Gamma$ , which has a vertex for each generator, and for each relation  $xyx \cdots = yxy \cdots$ , an edge joining  $x$  and  $y$  and labeled “ $n$ ”, where  $n$  is the length of each side of the relation. Thus, a graph group is an Artin group whose graph has all edges labeled ‘2’. In the same context, we mention the conjecture of Tits [1], which states that in the Artin group with labeled graph  $\Gamma$ , the subgroup generated by the squares of the generators is isomorphic to the graph group  $F_{\Gamma_2}$ , where  $\Gamma_2$  is the subgraph of  $\Gamma$  consisting of all the vertices, and all edges labeled ‘2’. This conjecture has been proved by S. Pride [7] in the case that the graph  $\Gamma$  contains no triangles.

For many graphs  $\Gamma$  it is true that every subgroup of  $F_\Gamma$  is itself a graph group [4], besides the obvious cases where  $\Gamma$  is either complete ( $F_\Gamma$  free Abelian) or

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completely disconnected ( $F_\Gamma$  free). In this article, we use topological techniques to examine the commutator subgroups of certain graph groups, showing in particular that some of them are not graph groups.

For  $n \geq 3$ , the  $n$ -gon is the graph with  $n$  vertices  $v_1, v_2, \dots, v_n$ , and  $n$  edges  $(v_i, v_{i+1})$ , indices modulo  $n$ . We will show that if  $\Gamma$  has a subgraph isomorphic to an  $n$ -gon for some  $n \geq 4$ , then the commutator subgroup  $F'_\Gamma$  has a subgroup isomorphic to the fundamental group of the orientable surface of genus  $1 + (n - 4)2^{n-3}$ . (In particular, the graph group of the pentagon contains a subgroup isomorphic to the group of the five-holed torus.) We will use this result to show that  $F'_\Gamma$  is a free group if and only if  $\Gamma$  contains no full subgraph isomorphic to any  $n$ -gon with  $n \geq 4$ . We also show that if  $\Gamma$  does not contain any squares (i.e., full subgraphs isomorphic to  $C_4$ ), then  $F'_\Gamma$  can be a graph group only if it is free.

## 1. PRELIMINARIES

A graph is a pair  $(V, E)$ , where  $V$  is the set of vertices and  $E$  is a set of unordered pairs of elements of  $V$ . So a graph is undirected with no loops and no multiple edges. A graph  $\Sigma = (W, D)$  is called a *subgraph* of  $\Gamma$  if  $W \subset V$  and  $D \subset E$ , and there is a natural homomorphism  $f: F_\Sigma \rightarrow F_\Gamma$  defined by setting  $f(w) = w$  for each  $w \in W$ . If  $D$  contains all unordered pairs of elements of  $W$  which are contained in  $E$ , we call  $\Sigma$  a *full* subgraph of  $\Gamma$ . In this case, it is clear that the natural homomorphism is one-to-one, so we shall simply regard  $F_\Sigma$  as a subgroup of  $F_\Gamma$ .

We now summarize a result of [8], which completely describes the centralizer of an element of  $F_\Gamma$ . Given an element  $u \in F_\Gamma$ , the *support* of  $u$ ,  $\text{supp}(u)$ , is the set of vertices  $v \in V$  such that either  $v$  or  $v^{-1}$  occurs in all factorizations of  $u$  as a product of vertices and their inverses.  $\text{Supp}(u)$  is well defined.

Let  $1 \neq x \in F_\Gamma$ . Then there are sets  $A_0, A_1, \dots, A_n$  of vertices of  $\Gamma$ , and a factorization  $p^{-1}(x_1^{r_1} \cdots x_n^{r_n})p$  of  $x$  such that (1) for  $i = 1, 2, \dots, n$ ,  $A_i = \text{supp}(x_i)$ , (2) the sets  $A_0, A_1, \dots, A_n$  are pairwise disjoint, (3) if  $i \neq j$ , then every vertex in  $A_i$  is adjacent to each vertex in  $A_j$ , and (4)  $\text{cent}(x) = p^{-1}(G \times \langle x_1 \rangle \times \cdots \times \langle x_n \rangle)p$ , where  $G$  is the subgroup of  $F_\Gamma$  generated by the elements of  $A_0$ . The elements  $x_i$  are called the *pure factors* of  $x$ .

## 2. TOPOLOGICAL REALIZATION OF THE COMMUTATOR SUBGROUP

Let  $\Gamma = (V, E)$  be a finite graph, and let  $X_\Gamma$  denote the Cayley complex of the corresponding presentation of  $F_r$ ; that is,  $X_\Gamma$  has one 0-cell,  $*$ , an oriented 1-cell for each vertex of  $\Gamma$ , and for each edge  $(v, w) \in E$ , a 2-cell attached along the loop  $vwv^{-1}w^{-1}$ . We have  $\pi_1(X_\Gamma) = F_\Gamma$ . If  $\Gamma = (V, E)$  has  $n$  vertices, then  $X_\Gamma$  is a subcomplex of the  $n$ -fold Cartesian product  $(S^1)^n$ , where the circle  $S^1$  has one 0-cell and  $(S^1)^n$  is the product complex. In particular, if  $K$  is the complete graph with vertex set  $V$ , then  $X_K$  is the entire 2-skeleton of

$(S^1)^n$ . Let  $U_K$  denote the universal cover of  $X_K$ . Since the fundamental group of a complex is carried by its 2-skeleton, it follows that  $U_K$  is the 2-skeleton of the cubical complex of  $R^n$ , i.e., the complex on  $R^n$  whose  $n$ -cells are the integer translates of the unit cube  $I^n$ .

Now, it is easy to see that the natural homomorphism  $\alpha: F_\Gamma \rightarrow F_K$  is the Abelianization map, and that the inclusion  $i: X_\Gamma \rightarrow X_K$  realizes  $\alpha$ . Thus,  $F'_\Gamma = \ker(\alpha)$  is realized by  $UG$  in the pullback diagram

$$\begin{array}{ccc} UG & \longrightarrow & U_K \\ \downarrow & & \downarrow \\ X_\Gamma & \xrightarrow{i} & X_K \end{array}$$

Hence,  $UG$  is the subcomplex of  $U_K$  obtained by deleting the lifts of all 2-cells of  $X_K$  which correspond to nonadjacent vertices of  $\Gamma$ .

Let  $w$  be a word on  $V^{\pm 1}$  representing an element  $[w] \in F_\Gamma$ . Then [8]  $w$  can be transformed into a word of shortest length representing  $[w]$  via a finite sequence of the following moves:

$M_1$ . Delete a subword  $a^{-1}a$  or  $aa^{-1}$ .

$M_2$ . Replace a subword  $v^{\pm 1}w^{\pm 1}$  with  $w^{\pm 1}v^{\pm 1}$  if  $(v, w) \in E$ .

In particular, if  $[w] = 1$ , then  $w$  can be transformed into the empty word using a finite sequence of these moves.

Let  $Z$  be a covering space of  $X_\Gamma$ , and let  $Z$  have the induced cell structure. Let  $p: I \rightarrow Z$  be any (cellular) loop in  $Z$  which is path-homotopic to the constant loop. Then, by the above, there is a sequence of path homotopies

$$p = p_1 \rightarrow p_2 \rightarrow \dots \rightarrow p_k = *$$

connecting  $p$  to the constant loop  $*$ , with each  $p_i$  cellular, such that the homotopy  $p_i \rightarrow p_{i+1}$  is supported either by  $p_i$ , in case of a move of type  $M_1$ , or, in case of a move of type  $M_2$ , by  $p_i \cup F$ , where  $F$  is a face of  $Z$  which intersects  $p_i$  in at least two incident edges (i.e., two edges having a common endpoint). Let  $Y$  be a subcomplex of  $Z$  and suppose that every face of  $Z$  which intersects  $Y$  in at least two incident edges is contained in  $Y$ . Then any loop in  $Y$  which is path homotopic to the constant loop in  $Z$  is path homotopic to the constant loop in  $Y$  also. Thus:

**Proposition 1.** *Let  $Z$  be a cover of the Cayley complex of  $F_\Gamma$ , and let  $Y$  be a subcomplex of  $Z$  with the property that any face of  $Z$  which contains at least two incident edges of  $Y$  is contained in  $Y$ . Then the inclusion  $i: Y \rightarrow Z$  induces a monomorphism  $i_*: \pi_1(Y) \rightarrow \pi_1(Z)$ .*

### 3. SURFACE SUBGROUPS OF $n$ -GON GROUPS

Let  $C_n$  denote the  $n$ -gon ( $n \geq 3$ ), and  $F_n$  the corresponding graph group. As in §2,  $F'_n$  is realized by a subcomplex  $U_n$  of the cubical lattice of  $R^n$ .

Consider the subcomplex  $I^n$  of  $R^n$ . Since  $I^n$  is convex, every 2-cell of  $R^n$  which intersects  $I^n$  in two edges is also a 2-cell of  $I^n$ , and so  $Y = U_n \cap I^n$  has the same property with respect to  $U_n$ . Thus, by Proposition 1,  $\pi_1(Y)$  is a subgroup of  $F'_n$ .

Now, every edge of  $I^n$  corresponds to a vertex  $v$  of  $C_n$ , and is incident to  $n - 1$  faces, one for each of the  $n - 1$  other vertices of  $C_n$ .  $Y$  contains exactly two of these faces—those corresponding to the two vertices of  $C_n$  which are adjacent to  $v$ . Thus,  $Y$  is a connected 2-complex in which every edge is adjacent to exactly two faces; i.e.,  $Y$  is a surface.  $Y$  is 2-sided since it is a subcomplex of the 2-skeleton of  $I^n$ .

To compute the genus of  $Y$ , we observe that  $I^n$  has  $2^n$  vertices,  $n2^{n-1}$  edges and  $\frac{1}{2}n(n-1)2^{n-2}$  faces, with  $2^{n-2}$  faces for each of the  $\frac{1}{2}n(n-1)$  pairs of vertices in  $C_n$ . Since only  $n$  of these pairs are adjacent in  $C_n$ ,  $Y$  has only  $n2^{n-2}$  faces, so the Euler characteristic of  $Y$  is

$$\chi(Y) = 2^n - n2^{n-1} + n2^{n-2} = (4 - n)2^{n-2}$$

and the genus of  $Y$  is  $1 - \frac{1}{2}\chi(Y) = 1 + (n - 4)2^{n-3}$ .

Thus, we have shown

**Theorem 1.** *Let  $F_n$  be the graph group of the  $n$ -gon graph. Then  $F'_n$  has a subgroup isomorphic to the fundamental group of the orientable surface of genus  $1 + (n - 4)2^{n-3}$ .*

#### 4. COMMUTATOR SUBGROUPS OF GRAPH GROUPS

Let  $\Gamma = (V, E)$  be a graph. Because the exponent sum of each letter of  $V$  in each relator of  $F_\Gamma$  is 0, a word  $w$  on  $V^{\pm 1}$  represents an element of  $F'_\Gamma$  if and only if the exponent sum on each letter of  $V$  in  $w$  is 0. It follows that if  $\Sigma$  is a full subgraph of  $\Gamma$ , then  $F'_\Sigma = F'_\Sigma \cap F'_\Gamma$ , and that if  $x \in F'_\Gamma$ , then each pure factor of  $x$  lies in  $F'_\Gamma$ .

A graph  $\Gamma$  is called *triangulated* if it contains no full subgraph isomorphic to an  $n$ -gon for any  $n \geq 4$ . (In particular note that trees are triangulated.)

**Theorem 2.** *If  $\Gamma$  is finite, then  $F'_\Gamma$  is free if and only if  $\Gamma$  is triangulated.*

*Proof.* If  $\Gamma$  contains a full  $n$ -gon with  $n \geq 4$ , then  $F'_\Gamma$  contains the group of some surface of positive genus, and so cannot be free. Conversely, suppose  $\Gamma$  is triangulated. If  $\Gamma$  is complete,  $F'_\Gamma$  is trivial. Otherwise [4],  $\Gamma$  can be written as the union of two subgraphs  $X$  and  $Y$  whose intersection,  $K$ , is a complete graph (empty in case  $\Gamma$  is disconnected). Comparing presentations, we find that  $F_\Gamma = F_X *_{F_K} F_Y$ . Now,  $F'_\Gamma \cap F'_X = F'_X$ ,  $F'_\Gamma \cap F'_Y = F'_Y$ , and  $F'_\Gamma \cap F'_K = F'_K = \{1\}$  since  $F'_K$  is Abelian. But  $X$  and  $Y$  are full subgraphs of  $\Gamma$ , so they are both triangulated, and so by induction,  $F'_X$  and  $F'_Y$  are free. Therefore,  $F'_\Gamma$  is free, by the Kurosh subgroup theorem.

**Lemma 1.** *Let  $\Gamma$  be a graph which contains no full squares (i.e., no full subgraph isomorphic to the square), and let  $x \in F'_\Gamma$ ,  $x \neq 1$ . Then  $\text{cent}(x)$ , the centralizer of  $x$  in  $F'_\Gamma$ , is free Abelian, and  $\text{cent}(F'_\Gamma; x)$ , the centralizer of  $x$  in  $F'_\Gamma$ , is cyclic.*

*Proof.* Write  $x = p^{-1}(x_1^{r_1} \cdots x_n^{r_n})p$ , and let  $A_0, A_1, \dots, A_n$  and  $G$  be as in paragraph 1. Since  $x \neq 1$ , one of the  $x_i$ , say  $x_1$ , must be nontrivial, and since  $x_1 \in F'_\Gamma$ ,  $A_1$  must contain two nonadjacent vertices. But then each of the sets  $A_0, A_1, \dots, A_n$  must induce a complete subgraph of  $\Gamma$ , since  $\Gamma$  contains no squares (for recall that each vertex in any of these sets is adjacent to every vertex of  $A_1$ ). In particular,  $G$  is free Abelian. Further, the support of a nontrivial element of  $F'_\Gamma$  cannot induce a complete subgraph of  $\Gamma$ , so  $x_2 = x_3 = \cdots = x_n = 1$ . Thus,  $\text{cent}(x) = p^{-1}(G \times \langle x_1 \rangle)p$ , which is clearly free Abelian. Finally,  $\text{cent}(F'_\Gamma; x) = F'_\Gamma \cap \text{cent}(x) = p^{-1}(\langle x_1 \rangle \times G')p = p^{-1}\langle x_1 \rangle p$ , since  $G$  is Abelian, so  $\text{cent}(F'_\Gamma; x)$  is cyclic.

**Theorem 3.** *If the finite graph  $\Gamma$  contains no full squares, then  $F'_\Gamma$  is a graph group if and only if it is free.*

*Proof.* If the graph  $\Sigma$  has an edge joining  $v$  and  $w$ , then  $\text{cent}(v)$  is not cyclic. Thus, if the centralizer of every element of  $F'_\Sigma$  is cyclic,  $\Sigma$  must be discrete, which is to say  $F'_\Sigma$  must be free.

Theorems 2 and 3 immediately imply

**Corollary 1.** *If  $\Gamma$  contains no full squares, but it does contain a full  $n$ -gon for some  $n > 4$ , then  $F'_\Gamma$  is not a graph group.*

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