Energy Harvesting from Rainwater

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Abstract

The purpose of this project was to provide electricity that was sufficient for powering lights and charging cell phones in rainy locations with limited electricity access. A household rainwater energy harvesting system was researched, designed, prototyped, and tested to determine the feasibility of rainwater as a source of renewable energy. The system prototyped consisted of a gutter assembly that collected and funneled water from the roof to a downspout. The downspout shielded the stream of water from wind and directed it to a turbine at the ground level. The turbine was connected through a gear train to a DC motor serving as the generator. The device is optimal during high rainfall intensities that produce larger flow rates. An Overshot water wheel, Crossflow turbine, and Pelton wheel turbine were evaluated under 8, 6, 4, and 2 gallons per minute flow rates using a tachometer and a torque meter. These flows were based on Liberian rainfall intensities scaled to a representative house that was 5 by 3 meters in roof area. The most suitable turbine was a 20 centimeter diameter Pelton wheel with 23 equally spaced blades. A micro gear motor rated at a maximum speed of 460 RPM and a stall torque of 20 ounce-inch was selected to serve as the generator. The system produced a power of 0.74 Watts and a 14.8% efficiency at 8 GPM. When scaled for the rainfall in the month of June, the current system could charge about 1.8 cell phones. This project proved the concept and design of a rainwater energy harvesting system. The system could be combined with a filtration system and holding tank to collect drinkable water so that the system serves a dual purpose for people with limited access to electricity and water.
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1.0 Introduction

The global energy consumption was 575 quads in 2015, and is expected to increase by 28% by the year 2040 (“International Energy Outlook”, 2017). Renewable energy is becoming the fastest growing energy type as countries switch from fossils fuels to various renewable sources. The benefits of obtaining energy from sources such as the sun, wind, and water are trifold. Renewable energy is helping tackle climate change, energy security, and energy access.

A global transition to renewable energy not only would combat climate change, but also has the potential to close the gap between those with and without electricity. There is a connection between access to electricity and the ability for economic and human development to occur, termed energy poverty (González-Eguino, 2015). In today's world, over 1.4 billion people face energy poverty. The challenge of energy poverty is concentrated in rural areas, where 85% of the population lacks electricity access (Stram, 2016). Rural renewable electrification programs are an opportunity to help combat energy poverty.

Our Major Qualifying Project will work to target rural electrification and clean water access in areas with high levels of rainfall through a rainwater collection and pico-hydropower harvesting device. Accessing water is an energy intensive process and recognizing the intersection between energy and water and using rainwater harvesting to approach the problem is a research area being pursued (Vieira, Beal, Ghisi & Stewart, 2014). The goal of our project is to create a pico-hydropower energy collection device that can be implemented into a rainwater harvesting system in order to provide electricity when solar energy is not available. Our project will target the needs of rural Liberia, where 70% of the population lives in multidimensional poverty, and of the rural population only 1.2% of people have access to electricity and 56% have access to improved drinking water (United Nations Development Programme, 2016; Liberia Institute of Statistics and Geo-Information Services, 2013). More than 40% of the people living in rural Liberia have access to a cell
phone or a radio and almost all of the rural population has some method for accessing lighting; (Liberia Institute of Statistics and Geo-Information Services, 2013). The development of technology tailored to the social and cultural needs of specific rural areas is critical to their success (Urmee & Md, 2016). In our project we will not delve deep into the social side of the pico-hydropower energy and rainwater harvesting system, however we have assessed the rural electricity uses and strategies for accessing water. Our project will work to target the scarcity in rural electricity and water through the development of a rainwater energy harvesting system.
2.0 Background

In this section we will discuss the various types of hydropower, the types of turbines commonly used in hydropower systems, and electrical generators. We will also investigate pico-hydro power generation from rainfall to gain a better understanding of the requirements for our system in rural Liberia.

2.1 Types of Hydropower

Historically, hydropower systems converted the energy in water to produce mechanical work. Such systems performed a variety of industrial activities, such as milling grains. Present day hydropower systems convert stored energy in water into electricity, instead of mechanical work. The power output for hydropower installations range from a few kilowatts to gigawatts. At 1,064 gigawatts of installed capacity, hydropower is the leading source of renewable energy and accounts for 71% of all renewable electricity (World Energy Council, 2016). Overall, 16.4% of the world's total electricity is generated from hydropower systems (World Energy Council, 2016). There are four main types of hydropower: storage hydropower, pumped-storage hydropower, offshore hydropower, and run-of-river hydropower (World Energy Council, 2016).

Storage Hydropower

Storage hydropower systems capitalize on the potential energy of water contained by a dam structure. To produce electricity, water is released from the dam and flows through a turbine. The rotating turbine activates a generator to produce electricity. Storage hydropower provides base load, a continuous supply of electricity, and peak load, the ability to be turned off and restarted based on demand (World Energy Council, 2016).
Pumped-Storage Hydropower

Pumped-storage hydropower is similar to storage hydropower, except that these systems cycle the water between upper and lower reservoirs to provide peak-load supply. When electricity is needed, water from an upper reservoir is released and spins a turbine. The potential energy of the elevated water produces the electricity. When electricity demand is low, pumps use extra energy in the system to drive the water back to the top reservoir to prepare for the next cycle (World Energy Council, 2016).

Offshore Hydropower

Offshore hydropower systems use waves and tidal currents in the ocean to produce electricity. Among the different types of hydropower, offshore is the least established, but still growing. This category includes technology such as underwater turbines (tidal), buoys (wave), and oscillating water columns (wave) (Tester, Drake, Driscoll, Golay & Peters, 2016, p. 700).

Run-of-River Hydropower

Run-of-river hydropower produces electricity as the flowing water, typically from a river or channel, spinning a turbine. The kinetic energy of the flowing water is used to produce electricity, unlike in storage hydropower systems where the potential energy is the driving factor (World Energy Council, 2016). Run-of-river systems produce a continuous supply of electricity. However, there are other much smaller forms of run-of-river hydropower such as Ultra Low Head Hydroelectric technology for heads less than 3m and flows greater than 0.5 meter per second with no head that are currently being explored (Zhou & Deng, 2017). This indicates that although hydropower technology has been around for a long period of time, new and exciting innovations are still being explored.
2.2 Types of Turbines

In hydropower systems, two main types of turbines exist: reaction and impulse. Impulse turbines use the velocity of the water to rotate the shaft, and are typically suitable for high heads and low flow applications (“Comparison between Impulse and Reaction Turbine,” 2016). Impulse turbines that are typically considered for small hydropower systems are the Pelton wheel, Turgo, and Crossflow turbines. Reaction turbines generate power from the combined pressure and moving water. They are typically submerged so that water flows over the blades, rather than striking them. This type of turbine is typically suitable for low head and high flow applications. A major difference between the two types of turbines is that reaction turbines must be enclosed in a watertight casing, while impulse turbines do not (“Comparison between Impulse and Reaction Turbine,” 2016). The types of reaction turbines that are typically used for small hydropower systems are propellers, such as: Kaplan turbines, and Archimedes screws. In addition, we are considering water wheels as an alternative to a traditional turbine. Water wheels differentiate from turbines because they generate energy from the weight of the water rather than from the water’s velocity or impulse (“Waterwheel Design and the Different Types of Waterwheel,” 2013). The type of water wheels that are the most applicable are the Overshot and the Backshot water wheels, because the source of water comes from above, as opposed to below.

Impulse Turbines

Pelton wheel- Pelton wheels consist of multiple bucket-shaped blades, known as impulse blades, and often have jets directed tangential to the turbine, Figure 1. Each individual blade has two “buckets” that are connected in the middle. This type of turbine is most applicable with high heads (greater than 25 meters) and low flows (0.01-0.5 cubic meters per second), but has been modified for application in micro-hydro systems (Okot, 2013).
**Turgo turbine**: The Turgo turbine is a modification of the Pelton wheel, except it uses only half of the blade, or just one “bucket.” Similar to the Pelton, the jets are aimed tangential to the turbine. This turbine functions in similar heads and flows to the Pelton wheel, but can have more efficient operations in lower head ranges (Okot, 2013).

**Crossflow**: The Crossflow turbine is designed with tangential rectangular-shaped blades that allow the water to flow through the turbine twice, flowing through the inside of the runner, Figure 2. This turbine is applicable in low to medium heads (2-40 meters) and low to medium flows (0.1-5 cubic meters per second) (Okot, 2013). The Crossflow turbine maintains efficiency under varying load and flow.
Reaction Turbines

Propeller- The propeller turbine typically has three to six blades that water comes into contact with simultaneously, Figure 3. In this type of turbine, the pressure must be constant to keep the runner in balance. The typical head for this system is low to medium (1.5 - 20 meters) and functions in medium to high flows (3- 30 cubic meters per second) (Okot, 2013).

![Figure 3: Example Propeller Turbine (Picture Credit: Jahobr Water Wheel, 2018)](image)

Kaplan- This turbine is a variation of the propeller, with adjustable blades and guide vanes. It can achieve high efficiency under varying input conditions (Okot, 2013).

Archimedes Screw- This turbine is best suited for low head (2-10 meters) and higher flow sites. It is closest in relation to reaction turbines, but is not actually considered a “turbine” (Okot, 2013). This structure is typically used to raise water from a lower elevation to a higher elevation, but can be turned in reverse to generate power, Figure 4.

![Figure 4: Example Archimedes Screw (Picture Credit: Jahobr Water Wheel, 2018)](image)
Waterwheels

**Overshot Water Wheel** - The Overshot water wheel is rotated by water entering at the top of the wheel and filling up the buckets formed by adjacent tangential blades, Figure 5. The weight of the water turns the wheel to generate power. This type of water wheel typically applicable for a low head (1-5 meters) and medium flow (0.3-1.5 cubic meters per second). The efficiency of this turbine is typically in the 80-90% range (Quarantra & Revelli, 2015).

![Figure 5: Example Overshot Water Wheel (Photo Credit: Wiki Water Wheel, 2018)](image)

**Backshot Water Wheel** - The Backshot water wheel is similar to the Overshot, except for that the blades are in the opposite direction, Figure 6. The efficiency of this turbine is typically in the 80-90% range (Quarantra & Revelli, 2015).

![Figure 6: Example Backshot Water Wheel (Photo Credit: Wiki Water Wheel, 2018)](image)
2.3 Pico-Hydro Power Generation

Pico-hydro is a term to describe hydropower systems that output less than 5 kilowatts (Williamson et al., 2014). Several turbines have already been designed and tested for pico-hydro applications. Pico-hydro is of increased interest for off-grid applications in low-income areas. Pico-hydro systems are typically low cost because significant construction is not needed in order to implement the systems (Williamson et al., 2014). These systems also have minimal environmental impacts because they are managed by the consumer and are not interfering with animal habitats or emitting pollutants (Williamson et al., 2014). In Nepal, 300 pico-hydro systems are producing electricity and an additional 900 are used for mechanical power (Cobb & Sharp, 2013). Some downsides to pico-hydro include the need for specific site conditions, such as heavy rainfall or a nearby water source.

Several studies have shown both Pelton wheels and Turgo turbines are utilized in pico-hydro systems. These two turbines are good for this application because they have high efficiencies in a wide range of conditions. Turgo turbines in particular have been shown to perform better than Pelton wheels in higher flow rates and lower heads (Cobb & Sharp, 2013). In testing, Turgo turbines were able to perform at over 80% efficiency, which is “quite good” for pico-hydro (Cobb & Sharp, 2013). The different angles and striking points of the water are factors that can influence the efficiency of the turbine.

2.4 Electrical Components

For pico-hydro turbines in a rainwater catchment system, the flow can be both variable in magnitude and intermittent, additionally the amount of power being generated at any given time is small. This creates challenges for generating electricity from a pico-hydro rainwater energy harvesting system. There are two common generator types that are ideal for ultra-low hydropower:
squirrel cage induction generators and direct current synchronous generators (Zhou & Deng, 2017). Overall, permanent magnet synchronous generators are superior at handling a wider range of speeds because they can still produce power through a range of speeds and squirrel cage induction generators are superior in that they require little maintenance (Zhou & Deng, 2017). For small scale electricity generation, another option for electricity generation is to use a permanent magnet DC motor as a generator. Permanent magnet DC motors operate at a range of input powers to provide a range of output powers. When they are run in reverse by rotating the shaft they can convert the input mechanical power to electrical power. The ability to generate power at a range of input conditions make permanent magnet DC motors a good option for a generator. Ratings for DC motors are given in terms of the stall torque and the maximum RPM. At the stall torque the RPM will be 0 and at the maximum RPMs the torque will be zero, the maximum power extracted from a DC motor is at half the stall torque and half the max rated RPMs (Understanding D.C. Motor Characteristics, 2018). This is shown in the Figure 7, below. Theoretically, the same should be true in reverse and if the motor is used as a generator and half of the maximum RPM and torque is input to the motor it should output the most power.

![Figure 7: DC Motor Rotational Speed vs Torque](image-url)
2.5 Energy Harvesting from Rainwater for Household Systems

Household hydropower systems provide energy by extracting power from high head water pipes. Kanth, Ashwani, Sharma (2012) explored a theoretical household system that would combine energy harvesting with water catchment from rooftops for individual buildings located in regions where typhoons or heavy rains are common. The gravitational potential energy of the rainwater would be converted to kinetic energy. The stream of water would strike a turbine to cause the turbine to rotate. The turbine would be connected to a generator to produce electrical power. Kanth et al. would use the gutters on the roof to funnel the rainwater from the rooftop to a storage tank located at ground level. The turbine would be placed in the downspout and above the storage tank, locations can vary depending on the type of the turbine used. For a roof area of 185 meters squared, and an average rainfall of 43 centimeters per year, the system was calculated to produce 1.5 kilowatt-hours per year. If the system was located in the rainiest locations on Earth, it would be able to produce 48 kilowatt-hours per year (Kanth et al., 2012), this is equivalent to about 8,640 phone charges. In comparison to other forms of energy generation this is actually minimal, however for rainy climates with little electricity access the technology can be used to supplement other forms of energy.

An experiment done by Bhargav, Ratna Kishore, Anbuudayasankar, Balaji (2016) harvested the gravitational potential energy of water in an overhead tank at the top of a three-story apartment building before the water entered a tap in an intermediate system. The assembly consisted of a 0.25 meter diameter pipe, a storage tank 15 meters above the tap, and a 135 millimeter turbine. An impulse-motor cooling fan was used as a turbine and was contained in an external enclosure, Figure 8. A shaft, supported by bearings within the case of the turbine, was coupled with a 12 Volt permanent magnet direct current (DC) generator. When the system was running the generator
produced 1.5 Watts. The advantages of this generator include minimal transmission losses because the energy was converted immediately to DC rather than AC power.
3 Design and Construction

The following section outlines the design concepts for the overall system and calculations required to estimate the power output of this proposed system. Construction of the prototype is discussed. The section also details the preliminary tests completed in order to arrive at specific design decisions.

3.1 System Components, Initial Design, and Project Scope

The system we are proposing is a dual purpose power generation and water collection. The eight components in the system and their main functions are outlined below:

1. Roof: must provide a smooth surface for water to flow down to the gutter,
2. Gutter: must be large enough to collect a significant portion of the water off the roof and angled to ensure the flow of water to the downspout,
3. Gutter-to-downspout connector: must direct the water towards the center of the downspout to minimize friction with the walls, and create a smooth stream of water,
4. Downspout: must be large enough to contain the water from the gutter and avoid backfilling,
5. Turbine/enclosure: placed at the outlet of the downspout to ensure the stream of water will strike and rotate the turbine,
6. Electrical components/enclosure: attached to the turbine shaft to produce electrical power; the enclosure will ensure the components stay dry in the wet environment,
7. Filtration system: purify the water so that it is safe to drink, and
Figure 9 depicts a drawing of our initial design. The rainwater will be collected from the roof via the gutter system that will run along the perimeter of the house. The water will be directed into the downspout that leads into an enclosure with a turbine. After the water flows through the turbine, it is collected in a tank beneath the system where it will be stored and can be filtered for drinking water.

![Initial Design Diagram]

**Project Scope**

There are three components outside the scope of the project: the roof, the holding tank, and the filtration system. The system proposed begins with the roof. The roofs on low-income families’ homes in Liberia are made of corrugated metal. An example image of a corrugated roof is below in Figure 10.
The corrugated metal will provide a smooth surface for the water to flow down to the gutter. The roofs are also slightly angled to ensure the flow of water. The material and design of the roofs in the proposed location are already satisfactory for the system. Furthermore, the system is intended for low-income families and should not induce extraneous costs. Therefore, we will not be considering redesigning the roof for our system.

The scope of our projects is primarily focused on the conversion of rainwater into energy. Therefore, the project will focus on the gutter and downspout sub-system, the turbine and its enclosure, and the electrical components and their enclosure. We will not work to design the final components of the system, the holding tank and filtration system, as filtration techniques and holding tank have been thoroughly researched in other projects. In summary, we will work to create a well-designed system that includes a gutter, downspout, and rainwater energy generator.

3.2 Initial Power Calculation

Prior to making any design decisions, we calculated the maximum potential power harvestable from the system using theoretical values. We considered the system under two different scenarios: water flowing through a filled downspout and free falling water. In the first scenario, the
downspout would have a nozzle at the very end immediately before the turbine to direct the stream of water on the turbine blades. The small nozzle area would cause the downspout to backfill and provide a pressure head. In the second scenario, the rainwater from the gutter would be directed to the center of the downspout with no backup. The downspout would not be filled and the water would ideally not touch the sides of the downspout. We considered both scenarios to determine which one would be the most beneficial and produce the most power.

The first scenario involves a nozzle at the end of the downspout. The small nozzle area would cause the downspout to backfill as rain continues to enter the downspout. If the downspout is filled, however, there will be frictional losses in the form of Equation 1:

$$h_l = f \left( \frac{L}{D} \right) \left( \frac{V^2}{2g} \right)$$

(Munson, Okiishi, Huebsch, & Rothmayer, 2013, pg. 428)

Where:

- $h_l = Head Loss (m)$
- $f = Friction Factor (unitless)$
- $l = Length of the Pipe (m)$
- $D = Diameter of Pipe (m)$
- $V = Velocity Exiting the Pipe \(\frac{m}{s}\)$
- $g = Gravity \(\frac{m^2}{s^2}\)$

The frictional losses will decrease the maximum velocity that exits the nozzle, and will therefore lower the power production and the RPM. Because the system is small-scale, the goal was to minimize losses as much as possible, therefore a nozzle at the end of the downspout and backfilling the downspout would not be beneficial. Rather, designing the downspout so that the
water falls in a single stream down the center of the downspout to strike the blade will help to enhance the performance of the system.

In the second scenario, the water would be directed to the center of the downspout to avoid frictional losses. The calculations outlined below estimate the power production from a 20 centimeter diameter Pelton wheel for the case of free-falling water during the heaviest rain. The Pelton wheel was chosen for the calculations because the equations are well-developed and easily accessible.

The first step was to calculate the flow rate of the water off of the roof based on the roof area and rainfall intensity. The theoretical roof area used for the project was 5 meters in length by 3 meters in depth. Thus the roof area is 15 meters squared. The maximum rainfall intensity was based on 2 year characterization of rainfall data in Liberia. The maximum intensity is 272.40 millimeters per hour and occurs for 0.10 hour (6 minutes) (Golder Associates, 2012). The volumetric flow rate of the water entering the pipe is determined by Equation 2:

\[ Q = A \times I \]  

Where:

\[ Q = \text{Flow Rate} \left( \frac{m^3}{s} \right) \]

\[ A = \text{Adjusted Area} \left( m^3 \right) \]

\[ I = \text{Rainfall Intensity} \left( \frac{m}{hr} \right) \]

\[ Q = 15 \times 0.2724 \times \left( \frac{1}{3600} \right) \]

\[ Q = 0.001135 \left( \frac{m^3}{s} \right) \text{ or } \left( 17.99 \frac{gallons}{minute} \right) \]
Before entering the gutter, the water has kinetic energy coming off of the roof. For the purposes of this power estimation, we will assume any of the kinetic energy of the water flowing off the roof is dissipated on impact with the gutter and therefore we do not consider this initial velocity. The velocity of the water in the gutter before it enters the downspout was determined using open channel flow calculations and is given by Equation 3:

$$V = \frac{k \left( \frac{A}{P} \right)^{2/3} \left( \frac{h}{l} \right) ^{1/2}}{n}$$

(Munson et al., 2013, pg. 568)

Where:

$V = \text{Velocity of Water in Gutter} \left( \frac{m}{s} \right)$

$A = \text{Cross – Sectional Area of Channel} \ (m^2)$

$P = \text{Wetted Perimeter} \ (m)$

$h = \text{Difference in Height Between Top and Bottom of Gutter} \ (\text{unitless})$

$l = \text{Length of Gutter} \ (m)$

$n = \text{Manning Coefficient} \ \left( \frac{s}{m^{1/3}} \right)$

$k = \text{Conversion Factor} \ (k = 1 \text{ for SI Units})$

A standard half round painted aluminum gutter from Home Depot will be used as a theoretical gutter for the purposes of the calculations. The gutter has a diameter of 12.7 centimeters (5 inches). The length of the gutter is the length of the roof: 5 meters. A typical gutter slope is 1% (Still & Thomas, 2002; SMACNA, 2012). The manning resistance coefficient, $n$, is 0.014 for painted metal (Munson et al., 2013, pg. 569). We assumed that the filled height was about 75% of the radius
in order to perform the calculations, so the height was 4.76 centimeters. The area and wetted perimeter can be found by calculating the circular segment area and arc length of the circular segment filled by the water, respectively, shown in Figure 11, to find the arc length we need to know theta, calculated using Equation 4.

\[ \theta = 2 \times \arccos \left( \frac{r - h}{h} \right) \]  

\[ \theta = 2 \times \arccos \left( \frac{5.7 - 4.28}{5.7} \right) \]

\[ \theta = 2.63 \text{ radians} \]

Knowing theta, we could find the arc length, which is the wetted perimeter using Equation 5:

\[ P = r \times \theta \]  

\[ P = 6.35 \times 2.63 \]

\[ P = 16.74 \text{ cm} = 0.1674 \text{ m} \]

The circular segment area is given by Equation 6:

\[ A = \left( \frac{r^2(\theta - \sin \theta)}{2} \right) \]
\[ A = 4.3 \times 10^{-3} \ m^2 \]

Therefore, the velocity is:

\[ V = \frac{\left(1 \left(\frac{4.3 \times 10^{-3}}{0.1503}\right)^{2/3} (0.01)^{1/2}\right)}{0.014} \]

\[ V = 0.622 \frac{m}{s} \]

To be conservative in our estimates, and because the open channel flow calculations proved the velocity of the water the gutter to be small, we neglected this velocity and only considered the potential energy of water at the top of the downspout. Assuming all of the potential energy from the height of the water is converted into kinetic energy, the velocity of the water exiting the pipe is found using Equation 7:

\[ V = \sqrt{2gh} \quad (7) \]

Where:

\[ V = Velocity \ Exiting \ the \ Pipe \ \left(\frac{m}{s}\right) \]

\[ g = Gravity \ \left(\frac{m^2}{s}\right) \]

\[ h = Height \ of \ the \ Gutter \ (m) \]

The height of the roof is estimated to be 3 meters above the ground due to standard ceiling heights. Thus the velocity of water exiting the downspout is:

\[ V = \sqrt{2 \times 9.8 \times 3} \]

\[ V = 7.67 \ \frac{m}{s} \]
The maximum power for a Pelton wheel is modeled by Equation 8:

\[ P = \rho QU(U - V)(1 - \cos\beta) \]  \hspace{1cm} (8)

Where:

\( P = \text{Power (W)} \)

\( \rho = \text{Density of Water (}kg/m^3\)\)

\( Q = \text{Flow Rate (}m^3/s\)\)

\( U = \text{Blade Speed (}m/s\)\)

\( V = \text{Velocity Exiting the Pipe (}m/s\)\)

\( \beta = \text{Exit Angle of the Blade (Degrees)} \)

Beta is the exit angle of the blade. Ideally, the water would exit at a 180 degree angle. However, this is not physically possible as the exiting water would collide with the entering water. It has been determined that an exit angle of 165 degrees is optimal (Munson et al., 2013, pg. 700).

\( U \) is the blade speed. At maximum power, the optimal blade speed is one half of the water velocity (Munson et al., 2013, pg. 700). Replacing \( U \) with \( \frac{1}{2} V \), the power produced by the Pelton wheel can be calculated in Equation 9:

\[ P = \rho Q \left(\frac{V}{2}\right) \left(\frac{V}{2} - V\right)(1 - \cos\beta) \]  \hspace{1cm} (9)

\[ P = 1000 \times 0.001135 \times \left(\frac{7.67}{2}\right) \times \left(\frac{7.67}{2} - 7.67\right) \times (1 - \cos(165)) \]

\[ P = 32.82 \text{ W} \]
The above calculations estimated the maximum potential power for a theoretical house with a roof area of 3 meters by 5 meters and a height of 3 meters at a rainfall intensity of 272 millimeters per hour. The maximum potential power for the theoretical house is plotted against the rainfall intensity in Figure 12.

The same calculations were completed to estimate the maximum potential power we would be able to produce in laboratory testing. The prototype system has a height of 2 meters (prototype discussed in Section 3.3). The maximum potential power for both the theoretical house and our prototyped system is plotted against the rainfall intensity in Figure 12. As a note, the maximum flow rate from the hose is 8 GPM, corresponding to a rainfall intensity of 120 millimeters per hour. Therefore, the maximum potential power output from the turbine in our prototype is approximately 10 Watts.

![Theoretical Power Output of the Turbine vs Rainfall Intensity](image)

*Figure 12: Theoretical Power Output of the Turbine vs Rainfall Intensity*

A 272 millimeter per hour rainfall intensity would last six minutes, from this peak storm the energy harvested would be calculated in Equation 10:
\[ E = P \times t \]  

(10)

Where:

\( E = \text{Energy} \ (J) \)

\( P = \text{Power} \ (W) \)

\( t = \text{Time that Strom Lasts} \ (\text{seconds}) \)

\[ E = 32.82 \times 360 \]

\[ E = 11815 \ J \]

A cell phone battery charge requires about 20,000 Joules (assuming cell phone battery holds 5.45 watt hours) and lighting one LED for one hour requires 36,000 Joules (assuming 10 W light bulb), the energy can be put into perspective by Equation 11 and Equation 12:

\[ \text{Number of Cell Phones} = \frac{\text{Energy}}{\text{Energy per Charge}} \]  

(11)

\[ \text{Number of Cell Phones} = \frac{11815}{20000} \]

\[ \text{Number of Cell Phones} = 0.59 \]

\[ \text{Number of Light Hours} = \frac{\text{Energy}}{\text{Energy per Charge}} \]  

(12)

\[ \text{Number of Light Hours} = \frac{11815}{36000} \]

\[ \text{Number of Light Hours} = 0.33 \]

Over the course of the day the rainfall intensity would vary, this is just the cell phone charges and light-hours from a short 6 minute storm. Depending on the rainfall more power may be generated over the course of an entire day.
3.3 Prototype for Testing Structure

In order to test individual components of our design during the design and preliminary testing process, and to test the system as a whole, we built a testing structure to fix to the testing tank, Figure 13. We constructed the frame from Aluminum 80/20s that was secured with C clamps to the testing tank. The length of the structure is 1.8 meters (6 feet), with a height of 1.2 meters (4 feet), and a width of 1 meter (3.3 feet). A 1.8 meter (6 feet) wooden 2 by 4 was installed to the top of the tank with L brackets to support the gutter.

![Figure 13: Design of Test Structure](image)

We purchased a 10 foot vinyl gutter from Home Depot and cut it in half to ensure the gutter would fit on the wooden 2 by 4 and hang within the length of the tank. The now 5 foot piece of gutter was installed to the wooden 2 by 4 using vinyl hidden hangers, also purchased from Home Depot, to mimic how the gutter would be installed on a typical home, Figure 16.
In order to redirect water from the gutter to the downspout, we purchased a “vinyl K-style drop outlet,” Figure 15. A 6 inch by 3 inch rectangular hole was cut in the bottom of the gutter at one end. The K-style drop was slid on the gutter and positioned below the rectangular hole to direct water from the gutter to the downspout.
Downspout elbow connectors, straight connectors, and 3 15 inch straight downspout pieces were purchased to test different downspout orientations, described in Section 3.4. These pieces could be assembled and attached to the rectangular opening of the K-style drop outlet. Gutter end caps were installed on both ends of the gutter to prevent water from exiting at either end and sealed with the tri-polymer based sealant, SealerMate. The final design of the gutter/downspout subsystem is described in Section 3.4.

Inside the tank, we placed a stand to hold the turbines for testing. Attached to the stand was a separate, watertight compartment for the electronics to sit in. The turbine stand was made by laser cutting acrylic pieces and using acrylic adhesive for assembly. Plastic ball bearings, with stainless steel balls, held a keyed shaft in place. The bearings were chosen due to their ability to operate well in wet environments, and their resistance to corrosion. A keyed shaft was chosen to make it simple to test different turbines because the reverse key could be implemented in all of our turbine designs. Shaft collars were used to keep the shaft in place. An exploded view with all of these components is shown in Figure 16 below.
The aluminum frame to hold the gutter and the turbine stand were used to conduct tests for the gutter/downspout sub-system, the turbine, and the electrical components to aid in our final design decisions. Detailed descriptions of our decision process, pre-testing, and final design choices are described in the following sections.

3.4 Gutter and Downspout Sub-System

The gutter and downspout sub-system includes the gutter, the gutter-to-downspout connector, and the downspout itself. This section outlines the calculations, considerations, and tests to determine the gutter sizing and slope, the preferred gutter-to-downspout connection, and the downspout design to develop a final design for the sub-system.

Gutter Sizing and Slope

Sizing the gutter to contain the water coming off the roof was the first step of designing this sub-system. The gutter sizing calculations were completed using two approaches. The first approach determines the velocity and projection of the water coming off the roof to determine the necessary width of the gutter.
First, the Manning equation was used to determine the water depth in each roof corrugation during maximum rainfall. Again, the maximum rainfall intensity of 272.40 millimeters per hour in 0.10 hours was used. The roof area of 15 meters squared, described in section 3.2, was also used. We chose this rainfall intensity as it provided us with a maximum flow rate, and therefore maximum velocity and displacement off the roof to calculate a gutter that was a sufficient size for most rainfall conditions. Next the roof corrugations needed to be considered. One standard corrugation is triangular, with a rib width of 63.5 millimeters and rib height of 12.7 millimeters (American Building Components, 2018). The corrugations are estimated to be triangles connected at the vertices directly next to each other. A single roof corrugation is shown in Figure 17.

![Figure 17: Depiction of Single Corrugation](image)

Equation 13 was used to determine the volumetric flow rate in a single corrugation:

\[
Q_{C} = d \cdot r_w \cdot V_R
\]

(13)

Where:

- \(Q_{C}\) = Flow Rate in one Corrugation \(\frac{m^3}{s}\)
- \(d\) = Roof Depth (m)
- \(r_w\) = Corrugated Rib Width (m)
- \(V_R\) = Rainfall Rate \(\frac{m}{s}\)
Next the Manning Equation for open channel flow was used to determine if the maximum intensity of rainfall would overflow the gutter, Equation 14:

\[
Q_{\text{corrugation}} = 3 \times 0.0635 \times 0.27240 \times \left(\frac{1}{3600}\right)
\]

\[
Q_{\text{corrugation}} = 14.415 \times 10^{-6} \left(\frac{m^3}{s}\right)
\]

The goal was to use the Manning Equation to determine the height of water in the channel. The geometry of the corrugations was considered in order to solve for the area as a function of height of water in each corrugation. Knowing the rib height and the rib width, theta was determined to be 68.2 degrees. The area of water for the Manning Equation is the cross-sectional area of water in the gutter. Therefore, cross sectional area of water in the gutter as a function of height was calculated by Equation 15:

\[
A = h^2 \times \tan \theta
\]

Where:

\[
A = \text{Cross Sectional Water Area (m}^2\text{)}
\]

\[
h = \text{Water Height (m)}
\]
The hydraulic radius in terms of height was calculated by Equation 16:

\[
R_h = h * \sin \theta * 0.5
\]  

(16)

The manning resistance coefficient, \( n \), is 0.022 for corrugated metal and \( k \) is 1 because SI units are being used (Munson et al., 2013, pg. 569). The Architectural Sheet Metal Manual states that a low roof slope is 3 inch for every 12 inch of roof (75 millimeters for 305 millimeters), so the slope, \( S_0 \), is 0.25 (SMACNA, 2012). We chose a low slope because the images of Liberian homes that we found appeared to have low sloping roofs. Now the Manning Equation could be solved for height so that the height of water in the corrugations could be determined from the geometry of the corrugations and the flowrate of rain. The Manning Equation, Equation 17, solved for height is:

\[
h = \left( \frac{Q * n}{k * \tan \theta * (\sin \theta)^{\frac{2}{3}} * S_0^{\frac{1}{2}}} \right) \frac{1}{2}
\]  

(17)

\[
h = \left( \frac{(14.415 * 10^{-6}) * 0.022}{k * \tan(68.2) * [\sin(68.2)]^{\frac{2}{3}} * (0.25)^{\frac{1}{2}}} \right) \frac{1}{2}
\]

\[
h = 3.425 \text{ millimeters}
\]

The original geometry of the corrugated roof has a rib height of 12.7 millimeters, so this suggests that in full flow the corrugations of the roof will not be overflowed by the rain because the corrugations would only need 3.425 millimeters in rib height to handle 272.4 millimeter per hour of water.

Using the determined height of water in the gutter, the velocity of the water in the corrugations could be determined by Equation 18:

\[
V = \frac{Q}{A}
\]  

(18)
Where:

\[ V = \text{Velocity of Water} \left( \frac{m}{s} \right) \]

\[ Q = \text{Volumetric Flow Rate in Single Corrugation} (m^3) \]

\[ A = \text{Cross Sectional Area of Water in Corrugation} \ (A = h^2 \times \tan \theta)(m^2) \]

\[
V = \frac{(14.415 \times 10^{-6})}{[(0.003425)^2 \times \tan(68.2)]}
\]

\[ V = 0.491 \ \frac{m}{s} \]

The last step was to use the velocity coming off of the roof to determine the distance the gutter should be placed horizontally from the roof. The displacement was determined using the basic kinematics equations. First the time spent falling was found, and then the horizontal displacement was found. Figure 18, illustrates the velocity components.

![Velocity Components](image)

*Figure 18: Velocity Components*

The vertical displacement from the edge of the roof to the top of the gutter was estimated to be 5 centimeters. As previously explained the roof has a slope of 3 inches down for every 12 inches in length, this corresponds to a 14.04 degree slope. Knowing the overall velocity component, the vertical component could be determined and the time it would take for the water to fall 5 centimeters could be found using Equation 19 and Equation 20:

\[
\Delta y = v_y t \times (0.5) \times gt^2
\]
\[ v_y = v \sin\theta \] (20)

Where:

\[ \Delta y = \text{Vertical Displacement (m)} \]

\[ v = \text{Velocity of Water in Corrugation Coming Off the Roof} \left( \frac{m}{s} \right) \]

\[ v_y t = \text{Vertical Component of Velocity} \left( \frac{m}{s} \right) \]

\[ t = \text{Time (s)} \]

\[ g = \text{Gravity} \left( \frac{m}{s^2} \right) \]

\[ \theta = \text{Angle of Roof (degrees)} \]

\[ 0.05 = t \sin(14.04) \times 0.5 \times (9.8) \times t^2 \]

\[ t = 0.099 \text{ seconds} \]

Last, determining the displacement from the roof was calculated by Equation 21 and Equation 22:

\[ \Delta x = v_x t \] (21)

\[ v_x = v \cos\theta \] (22)

Where:

\[ \Delta x = \text{Vertical Displacement (m)} \]

\[ v = \text{Velocity of Water in Corrugation Coming Off the Roof} \left( \frac{m}{s} \right) \]

\[ v_x = \text{Vertical Component of Velocity} \left( \frac{m}{s} \right) \]

\[ t = \text{Time (s)} \]

\[ \theta = \text{Angle of Roof (degrees)} \]
\[ \Delta x = (0.099) \times (0.491) \times \cos(14.03) \]

\[ \Delta x = 4.72 \text{ centimeters} \]

This means in the maximum rainfall, the gutter should be able to catch rain that is displaced 4.72 centimeters from the edge of the roof. If this is taken to be the distance from the center of the gutter to the edge of the gutter than the gutter diameter should be twice the distance found, or 9.43 centimeters (approximately 3.71 inches) wide.

The limitation of this method of gutter sizing is it considers the width needed but does not calculate the depth of the gutter needed. For this, and as a way to verify the previous results, we turned to gutter sizing equations from the seventh edition of the Architectural Sheet Metal Manual by the Sheet Metal and Air Conditioning Contractors' National Association, SMACNA (SMACNA, 2012). The solution of the equations provides for the width of rectangular and half round level gutters. The formulas have been experimentally verified by the National Institute of Standards and Technology and have been derived for use with English units only. Graphs of the equations in both English and metric units can be found in Appendix A.

For rectangular gutters, Equation 23 was used:

\[
W = 0.0106M^{-4/7} \times L^{3/28} \times (IA^{5/14})
\]  

(23)

Where:

\[ W = \text{Width of the Gutter (ft)} \]

\[ M = \text{Ratio of Depth (D) to Width of Gutter,} \frac{D}{W} \text{ (unitless)} \]

\[ L = \text{Length of Gutter (ft)} \]
\[ I = \text{Rainfall Intensity} \left( \frac{\text{in}}{\text{hr}} \right) \]

\[ A = \text{Area to be Drained} \ (\text{ft}^2) \]

For the ratio of the depth to width, we chose a standard value of 0.75. For the purpose of our calculations, we again used a rectangular roof with a length of 5 meters (approximately 16 feet) and a width of 3 meters (approximately 10 feet). Therefore, the length of the gutter is 5 meters, or approximately 16 feet. A roof slope of 3 inches in 12 inches, or 75 millimeters in 305 millimeters, was used again. The highest rainfall intensity of 272.40 millimeters per hour is approximately 10.7 inches per hour. The area is dependent on the roof length, width, and pitch was calculated by Equation 24:

\[ A = L \times W \times p \quad (24) \]

Where:

\[ A = \text{Adjusted Area} \ (\text{ft}^2) \]

\[ L = \text{Length of the Roof} \ (\text{ft}) \]

\[ W = \text{Width of the Roof} \ (\text{ft}) \]

\[ p = \text{Pitch Factor} \ \text{(unitless)} \]

The plane roof area must be multiplied by a pitch factor because steeper roofs are more likely to “catch” rain as the wind blows the rain onto the roof. For our slope, the pitch factor is 1.00, as shown in Table 1.
Table 1: Design Area for Pitched Roofs

<table>
<thead>
<tr>
<th>Pitch</th>
<th>mm/mm</th>
<th>*B</th>
</tr>
</thead>
<tbody>
<tr>
<td>in./ft</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Level to 3</td>
<td>75/305</td>
<td>1.00</td>
</tr>
<tr>
<td>4 to 5</td>
<td>100 – 127/305</td>
<td>1.05</td>
</tr>
<tr>
<td>6 to 8</td>
<td>152 – 203/305</td>
<td>1.10</td>
</tr>
<tr>
<td>9 to 11</td>
<td>229 – 279/305</td>
<td>1.20</td>
</tr>
<tr>
<td>12</td>
<td>305/305</td>
<td>1.30</td>
</tr>
</tbody>
</table>

(Table Credit: 7th Edition of the Architectural Sheet Metal Manual)

Therefore, the adjusted roof area was calculated in Equation 25:

\[ A = 16 \times 10 \times 1.00 \]

\[ A = 160 \text{ feet squared} \]

Substituting all the values into the equation yields:

\[ W = 0.01609 \times (0.75)^{-4/7} \times 16^{3/28} \times (10.7 \times 150)^{5/14} \]

\[ W = 0.365 \text{ feet} \approx 4.38 \text{ inches or } 11.1 \text{ centimeters} \]

The ratio of the depth to width can be used to find the required depth of the gutter in Equation 26:

\[ D = MW \]

\[ D = 0.75(4.38) \]

\[ D = 3.29 \text{ inches or } 8.36 \text{ centimeters} \]
For half-round gutters, only the rainfall intensity and roof area contribute to determining the width in Equation 27:

\[ W = 0.0182 (IA)^{2/5} \]  

\[ W = 0.0182 (10.72 * 160)^{2/5} \]

\[ W = 0.358 \text{ feet} \approx 4.30 \text{ inches or } 10.9 \text{ centimeters} \]

Both methods of calculations provided results in similar ranges. Therefore, in order to contain the maximum amount of rain during the heaviest of storms, the gutter width should be between 8.36 centimeters (3.29 inches) and 10.9 centimeters (4.30 inches) depending on the geometry. The necessary depth of the gutter is dependent on the shape of the gutter.

The prior equations are valid for level gutters. However, sloped gutters are more efficient in terms of capturing and conveying the water. A sloped gutter is able to serve a larger roof area than a level gutter of the same size (SMACNA, 2012). Typical grades for gutters range between 0.5% and 2% (Still & Thomas, 2002; SMACNA, 2012). A medium grade of 1% is the most efficient in terms of water capture and conveyance (Still & Thomas, 2002). To size a half-round sloped gutter, the Architectural Sheet Metal Manual is used again. Table 2 displays the maximum roof area to be served by different sized half-round gutters installed at varying slopes. A 1% grade equates to a slope of \( \frac{1}{8} \) inch per foot. The roof areas to be served by each size gutter and slope is based on a rainfall intensity of 1 inch per hour. Dividing the roof areas in the \( \frac{1}{8} \) inch per foot column by our maximum rainfall intensity of 10.7 inches per hour, yields the actual roof area to be served by the gutter.
Therefore, a roof area of 150 square feet, or 14 meters squared, could be served by two 3-inch half-round gutters installed at a slope of ⅛ inch per foot. One gutter could be installed on either side of the house for a home with the common gable style roof. Alternatively, if the home has a mono-pitch, or shed-like roof, a single 4-inch half-round gutter installed at a slope of ⅛ inch per foot could serve the entire roof.

For the purposes of our prototype, we purchased a gutter that was easily available to us at a local home improvement store. The purchased gutter is a 10-foot K-shaped vinyl gutter that is 3 inches wide and 3.25 inches deep. The gutter was cut in half to a length of 5 feet so that it would fit over the tank and on our 80/20 stand. The 5-foot section of gutter was installed onto the 2 by 4 piece of wood using the same installation hooks one would use to attach the gutter to a house. One of the 80/20s spanning across the tank was moved down 0.6 inches from the top of the vertical 80/20s to create the desired 1% grade.

Table 2: Sloped Half-Round Gutter Capacity

(Table Credit: 7th Edition of the Architectural Sheet Metal Manual)
Gutter-to-Downspout Connection Types

There are several different ways to connect a gutter to a downspout. The most common type of connection involves a funnel-like component on the gutter that connects to the downspout. Most of the time elbow connectors and short lengths of downspouts are attached to the funnel connection to lead the downspout back to the side of the house to be supported, Figure 19. However, the downspout may not require the elbow connections if it can be supported by a structure other than the side of the house, Figure 20. Another option we came across was simply an open end of a gutter that emptied into a vessel for collecting the rainwater, Figure 21.

Figure 19: Elbow Connectors and Short Lengths of Downspout

(Photo Credit: USA Gutters, 2018)
Figure 20: Straight Downspout

(Photo Credit: DZ/DG, 2018)

Figure 21: Open End Gutter

(Photo Credit: Eggleston Farkas Architects)
Gutter-to-Downspout Connection Tests

To determine the most suitable gutter-to-downspout connection, we conducted preliminary testing of five different types of connections: vertical downspout (Figure 22), bent downspout (Figure 23), vertical downspout with 1.4 centimeter and 1.1 centimeter funnels placed at the top of the downspout (Figure 24), and the open end gutter. The connection ideally should produce a single stream, or at least a primary stream, of water to strike the turbine. If the water disperses too much, the stream would not be powerful enough to start the turbine. The hose was placed in the gutter and each connection was evaluated at four different flow rates: 8 GPM, 6 GPM, 4 GPM, and 2 GPM. After initial tests, the open end gutter was immediately eliminated because the position of the stream changed drastically for each flow rate. This would not be feasible for the system as the turbine would have to be moved frequently to adjust to the position of the stream.
Figure 23: Bent Downspout

Figure 24: Funnels Placed on Top of Downspout
In order to evaluate and estimate the size of the streams coming out of the downspout for each different connection, we drew measurements on a piece of cardboard. The cardboard was covered in plastic and held behind the stream. We took photos of the streams to compare stream sizes for each connection type at each flow rate. The stream for the plain vertical downspout was very inconsistent and spread out, as seen in Figure 25a. The stream for the bent downspout (Figure 25b) was better, but still not ideal as it was very wide and changed positions often. The streams from the two funnels and vertical downspout were the best as there was only a single stream that stayed in the same location throughout testing (Figure 25c & d).

![Figure 25: Stream Size for (a) Vertical Downspout, (b) Bent Downspout, (c) 1.4 centimeter Funnel, (d) 1.1 cm Funnel](image)

Adding a funnel to the top of the downspout was the most favorable option. During the testing to estimate the stream size, the gutter would fill up quickly because the funnels were not large enough to allow the full flow of water through the opening. We estimated the necessary diameter of a funnel opening by matching the cross-sectional area of the water in the gutter without any funnel
attached to the area of a circular opening. The estimated cross-sectional area of the water in the gutter was 9.68 centimeters squared (1.5 inches squared) at the hose’s maximum flow rate of 8 GPM. A diameter of 3.51 centimeters (1.38 inches) would produce a circular area of the same size.

In order to confidently determine the appropriate opening size of the funnel, we conducted an experiment. Our goal was to have an opening that would be small enough to produce some back-up in the gutter at every flow – as funnels produce smoother, more consistent stream when there is some pressure head – but the funnel also needed to be large enough that the gutter would not overflow in high intensity range.

To test different sized funnel openings, we cut one of the funnels to produce an opening of 1.27 centimeters (0.50 inches) and then gradually cut the funnel back at quarter inch increments to create larger openings to experimentally determine the best option. The time to fill the gutter to a height of 2 inches at a flow rate of 8 GPM was recorded for each funnel opening. Three trials were conducted for each opening size. During the testing the gutter slope remained constant at the previously determined 1% grade. Results of the experiment are shown in Table 3.

<table>
<thead>
<tr>
<th>Funnel opening size</th>
<th>Trial 1</th>
<th>Trial 2</th>
<th>Trial 3</th>
<th>Average</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.27 cm (0.5 in)</td>
<td>15</td>
<td>28</td>
<td>20</td>
<td>21</td>
</tr>
<tr>
<td>1.91 cm (0.75 in)</td>
<td>58</td>
<td>48</td>
<td>50</td>
<td>52</td>
</tr>
<tr>
<td>2.54 cm (1.00 in)</td>
<td>Did not fill</td>
<td>Did not fill</td>
<td>Did not fill</td>
<td>N/A</td>
</tr>
</tbody>
</table>

At a diameter of 1.27 centimeters (0.50 inches) and a flow rate of 8 GPM, the gutter backed up to a height of 2 inches within 30 seconds. The opening was too small and would cause the gutter to overflow in a matter of minutes. Given that the 8 GPM rainfall rate was expected to last 6
minutes, this diameter was not feasible for the system. The 1.91 centimeter (0.75 inch) opening filled slower than the 1.27 centimeter (0.50 inch) as expected. To ensure the gutter would not overflow during a storm with the 1.91 centimeter (0.75 inch) funnel opening, the hose was placed in the gutter with a flow rate of 8 GPM for six minutes. After six minutes the gutter was still not close to overflowing. Therefore, an opening of 1.91 centimeters (0.75 inches) for the funnel was determined to be the best option.

While determining the necessary size of the funnel opening, the funnel did not have any spout because it was cut off to achieve the desired diameters. Adding a spout would theoretically create backfill at all of the various flow rates to produce a continuous stream. In order to best estimate the height of the backfill for a given length of spout, the Reynold’s number was calculated in Equation 28 to determine whether the flow is turbulent or laminar.

\[
Re = \frac{4 \cdot Q}{\pi \cdot D \cdot v}
\]  

(Munson et al, 2013, pg. 18)

Where:

\[ Q = \text{Flow Rate} \left( \frac{m^3}{s} \right) \]

\[ D = \text{Diameter of Funnel Opening (m)} \]

\[ v = \text{Kinematic Viscosity of Water} \left( \frac{m^2}{s} \right) \]

Converting the 8 GPM to meters cubed per second, and substituting all the values yields:

\[
Re = \frac{4 \cdot 0.0005}{\pi \cdot 0.019 \cdot 0.000001}
\]

\[ Re = 33506.3 \]

Therefore, the flow exiting the funnel is turbulent, and laminar flow assumptions cannot be used. To estimate the height of the backfill for given lengths of spout, Equation 29 was used:
\[ L' + L = \frac{v^2}{2g} \left( k + f \frac{L}{D} \right) \]  

(29)

Where:

\( L' \) = The Height of the Water Above the Entrance of the Spout (m)

\( L \) = Length of the Spout (m)

\( v \) = Velocity of Water Exciting the Spout (m/s)

\( g \) = Gravity (m/s²)

\( k \) = Minor Head Losses Coefficient (unitless)

\( f \) = Friction Factor (unitless)

\( D \) = Diameter of Funnel Opening (m)

In the equation, \( L' + L \) represent the pressure head. The majority of the losses stem from the minor loss coefficient. Based on the geometry of the funnel, an approximate value of \( k \) is 0.25 (Munson et al., 2013, pg. 434). Using the Reynold’s number and the Moody chart, an approximate friction factor is 0.025 (Munson et al., 2013, pg. 430). The velocity exiting the funnel is determined by using \( V = Q/A \). The backfill, \( L' \), was calculated at different flow rates and spout lengths for a funnel opening diameter of 1.91 centimeters (0.75 inches) and is displayed in Table 4.

Table 4: Losses Calculations for Various Spout Lengths and Flow Rates

<table>
<thead>
<tr>
<th>Diameter (D, m)</th>
<th>0.019</th>
<th>0.019</th>
<th>0.019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diameter (D, in)</td>
<td>0.75</td>
<td>0.75</td>
<td>0.75</td>
</tr>
<tr>
<td>Spout length (l, m)</td>
<td>0</td>
<td>0.0254</td>
<td>0.0508</td>
</tr>
<tr>
<td>Spout length (l, in)</td>
<td>0</td>
<td>1.00</td>
<td>2.00</td>
</tr>
<tr>
<td>Flow rate (Q, m³/s)</td>
<td>0.0005</td>
<td>0.00037</td>
<td>0.00025</td>
</tr>
<tr>
<td>Flow rate (Q, GPM)</td>
<td>0</td>
<td>0.02172</td>
<td>0.009917</td>
</tr>
<tr>
<td>backfill (L', m)</td>
<td>0.039667</td>
<td>0.02172</td>
<td>0.009917</td>
</tr>
<tr>
<td>backfill (L', in)</td>
<td>1.56</td>
<td>0.86</td>
<td>0.35</td>
</tr>
</tbody>
</table>
As the spout gets longer, and the flow rates become lower, the value of \( L' \) becomes negative, meaning the spout does not fully fill. When the spout is longer, there is more room for the water to back-up in the spout itself, as opposed to above the entrance of the spout.

The calculations provided some insight for the necessary spout length, but experiments were needed to fully understand how a spout would affect the backfilling of the gutter. To experimentally determine the funnel spout length, a funnel with an exit diameter of 1.19 centimeters (0.75 inches) and a spout length of 5.08 centimeters (2 inches) was 3D printed. Similar to the funnel opening experiments, the hose was placed in the gutter positioned at a 1% grade. The hose provided a flow rate of 8 GPM and the time to reach a height of 2 inches in the gutter, and whether or not the gutter overflowed after 6 minutes of continuous flow was recorded. If the spout was too long and caused the gutter to overflow, we could cut the spout down. During three trials for the 5.08 centimeter (2 inch) spout, the gutter never overflowed and the backfill reached a height of 2 inches after an average of 30 seconds. As expected, the addition of the spout caused the gutter to backfill faster than when there was no spout. Unfortunately, the addition of the 5.08 centimeter (2 inch) spout did not produce the gutter to fill at all at lower flow rates. Therefore, in order to create a pressure head above the funnel at the lower flows, a much smaller opening would be required that would likely cause the gutter to overflow at higher flows. It was concluded that the 5.08 centimeter (2 inch) spout was best for the system.

**Downspout Consideration**

The final component of the gutter and downspout sub-system is the downspout. The downspout size is typically dependent on the gutter size and the volume of water the downspout must be able to contain (SMACNA, 2012). However, with the addition of the funnel in our system, the water ideally falls as a single stream in the downspout. Without fear of the downspout becoming
backed up, these considerations are not applicable anymore. The downspout will still be a part of the system to shield the stream from wind and debris.

3.5 Turbine Selection

The turbine selection consisted of multiple criteria in which different turbines were evaluated. During our initial background research, we considered four basic turbines: Crossflow, Pelton wheel, Overshot water wheel, and Turgo. Reaction turbines were eliminated based on background research because they require a fully submerged pipe in order to operate at the highest efficiencies. In addition, the Turgo turbine was eliminated early in the design process due to structural imbalance.

The Crossflow, Pelton wheel, and Overshot water wheel were evaluated based on SolidWorks static simulations and RPM and stall torque readings at different flows. SolidWorks CAD models were downloaded from GrabCad and modified using basic geometry to fit within our system. For preliminary testing of the three turbines, we used the number of blades and overall geometry of the GrabCad models so that we could quickly print and test the options. We scaled the turbines for preliminary testing to 10 centimeters in diameter to fit on the 3D printer beds and to save material. Blade and wall thicknesses were also adjusted based on the tolerances of the 3D printers. All of the turbines had a center opening of 8 millimeters for the shaft. In order to keep the shaft in place, a 1 millimeter by 2 millimeter key was added to the center openings of the turbines to fit in the shaft’s keyhole. Modifications and optimizations in regards to the number of blades and overall size of the turbines were done to the selected models after preliminary testing.
Description and 3D Printing of Turbines for Preliminary Testing

The GrabCad model of the Overshot waterwheel had 20 buckets and 8 supporting ridges placed within the blades from the shaft, Figure 26. After scaling the Overshot waterwheel to have an overall diameter of 10 centimeters, the length of the supporting ridges were 19.89 millimeters. The width of the entire turbine was 14.82 millimeters. The buckets’ arc radius were 6.60 millimeters. The first testing model was 3D printed using ABS plastic with no supports in the structure. The turbine was able to be printed in one piece, Figure 27. Assembly drawings and all the dimensions of the overshot water wheel can be found in Appendix B.

*Figure 26: CAD Model of Overshot Water Wheel*

*Figure 27: 3D Printed Overshot Water Wheel (a) Front View (b) Side View*
The Pelton wheel from GrabCad, Figure 28, contained 23 buckets and a hollow center, to decrease the weight. As mentioned beforehand, the geometry of the Pelton wheel was kept for quick prototyping and preliminary testing. One bucket for the Pelton wheel has an area of 250.9 millimeters squared. The width of the Pelton wheel was 19.90 millimeters. Due to the complex geometry, the turbine was 3D printed using the Dimension SST 1200es supported by Dr. Erica Stults. The turbine was printed in one piece, but required dissolvable supports, Figure 29. Assembly drawings and all the dimensions of the Pelton wheel can be found in Appendix C: Pelton Wheel Assembly Drawings.

Figure 28: CAD Model of the Pelton Wheel

Figure 29: 3D Printed Pelton Wheel (a) Front View (b) Side View
The GrabCad model of the Crossflow had 18 blades attached to the top and bottom plates, Figure 30. Thus, creating a hollow center where water could flow through. Each blade had an arc radius of 19.99 millimeters and a thickness of 3 millimeters due to the minimum required thickness for the printer. Chamfers of 1.85 millimeters were placed on the convex of the blades to ensure it was fully supported. The Crossflow turbine for preliminary testing was printed in two parts, the bottom and top pieces, Figure 31. The two separate pieces were then glued together, as seen in Figure 32. Assembly drawings and all the dimensions of the crossflow turbine can be found in Appendix B.
In order to evaluate the turbines, static stress, displacement, and strain simulations were analyzed using SolidWorks. These analyses were used to determine the amount of stress, displacement, and strain the turbines could withstand in the system. All simulations were run with ABS material to replicate our 3D printing, which has a yield strength of 40 MPa. Pressure was used as the normal load on the areas of impact, which differed for each turbine. The area of impact was determined using preliminary water testing and marking the location for different GPM. The static analysis did not include atmospheric pressure; thus the pressure was added to the final calculations. The pressure was calculated by Equation 30:

$$P = \rho \cdot g \cdot h$$  \hspace{1cm} (30)

Where:

$P = \text{Pressure (Pa)}$

$\rho = \text{Density of Water at 20 Celcius (} \frac{kg}{m^3} \text{)}$
\[ g = \text{Gravity} \left( \frac{m}{s^2} \right) \]

\[ h = \text{Height} \ (m) \]

\[ P = 998.2067 \times 9.8 \times 4 \]

\[ P = 3.98 \times 10^4 \, Pa = 5.78 \, Psi \]

\[ 5.78 \, Psi + 14.7 \, Psi \ (\text{atmospheric pressure}) = 20.78 \, Psi \]

For each of the simulations, connections, fixtures, external loads, and a mesh were created and defined. The component contacts for the turbines were defined as bonded and the turbines were fixed at the key shaft. The pressure was set to 20.48 Psi on certain points on each turbine. The pressure points were determined from preliminary water testing done on the printed models and will be shown in this section. A shear mesh was created on the entire model. Figure 33, shows the steps to run the simulation.

![Figure 33: Steps for SolidWorks Simulation](image)

In order to evaluate the strain on each of the turbines, a stress-strain graph was analyzed to ensure that the impact of the normal force did not cause the material to pass its yield strength, Figure 34.
The Overshot waterwheel had two areas of impact, Figure 35. The turbine had a maximum stress allowance of \(3.098 \times 10^6\) newtons per meter squared and a displacement of 0.217 millimeters, Appendix E. Figure 36 demonstrates the impact areas where the maximum normal stress is on the Overshot waterwheel. Some of the noticeable areas of deformation are the supporting ridges. The maximum strain placed on the turbine was \(3.797 \times 10^{-4}\). From looking at stress-strain curve of ABS, Figure 34, the range was within the elastic modulus, thus no permanent deformation was placed from the normal force.
From preliminary testing, it was determined that the areas of impact were two buckets on the Pelton wheel, Figure 37. The Pelton had the largest stress threshold with $1.406 \times 10^7$ Pascals, as shown on Figure 38. The displacement for the wheel was 0.073 millimeters, Appendix F. With a strain of $3.357 \times 10^{-4}$ for the 3D printed ABS plastic, the stress-strain fell in the elastic modulus range, Figure 34, thus no permanent deformation on the material.
Lastly, the Crossflow turbine had two blades in the area of impact, Figure 39. The simulations determined that the turbine could withstand normal stress up to $3.642 \times 10^6$ Pascals. In addition, displacement of the blades could range from $1.0 \times 10^{-30}$ millimeters to 0.1383 millimeters under the given pressure, Appendix G. Most of the deformation in the model was seen in the connection of the blades to the top and bottom plates, Figure 40. The normal strain of the ABS plastic was $5.545 \times 10^{-4}$. From looking at Figure 34, we can determine that the stress-strain in the range of the elastic modulus and causing no permanent deformation.
Overall, the SolidWorks simulations showed that none of the turbines would break or permanently deform due to the impact of the water. However, the Overshot water wheel had the highest stress and strain values of the three turbines.
Preliminary Stall Torque and RPM Testing

The 10-centimeter diameter Overshot water wheel, Pelton, and Crossflow were put through preliminary stall torque and RPM tests, in order to support findings from the SolidWorks analysis. The tests were performed by placing the turbines into the turbine casing and using torque and RPM readers. To measure the RPMs, a digital tachometer made by Neiko was used. The tachometer can read up to 99,999 RPM with an accuracy of +/- 0.05 percent. The analog torque meter, made by Waters, had a range of 0 to 40 ounce-inches and is accurate to the ones place. All tests were accomplished with an approximate flow rate of 8 GPM held 1 meter above the turbine. Three trials of 50 data points each were taken and used to calculate the standard deviation and average for each RPM trial for each turbine. Similarly, three trials of stall torque data were taken for each turbine and calculated to have the average and standard deviation. The results are summarized in Table 5 below.

<table>
<thead>
<tr>
<th></th>
<th>Pelton</th>
<th>Crossflow</th>
<th>Overshot</th>
</tr>
</thead>
<tbody>
<tr>
<td>Speed (RPM)</td>
<td>679 ± 32.0</td>
<td>652 ± 85.2</td>
<td>646 ± 55.0</td>
</tr>
<tr>
<td>Stall Torque</td>
<td>12 ± 0.0</td>
<td>18 ± 0.06</td>
<td>5 ± 0.6</td>
</tr>
<tr>
<td>(oz-in)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The overall data presented that the Overshot water wheel had the lowest torque and RPM values. The Pelton wheel and Crossflow turbines had the greatest potential for power output based on the RPM and torque values. Due to the results of the testing and the SolidWorks simulations, the Overshot water wheel was eliminated.
Design and Optimization of Pelton and Crossflow

After the elimination of the Overshot water wheel, the Pelton and Crossflow were optimized, resized, reprinted, and tested in order to select the final turbine. Previously, the turbines had been sized and printed at an outer diameter of 10 centimeters to save material and fit on the printer beds. Due to the low stall torques found in preliminary testing, the turbines were resized to 20 centimeters and the number of blades was optimized at the 20 centimeter diameter size to improve performance.

The first step in redesigning the turbines was to optimize them for the system. Particularly, the Pelton’s optimization depended on the jet diameter of our downspout, the size range of the turbine (pico, micro, etc.), and the wheel diameter. The downspout jet diameter for the testing was 1 inch. The Equation 31 was used to determine the ideal number of buckets:

\[ N_b = \frac{D_p}{d_j} + 15 \]  

(Zidonis et al, 2014, pg. 2)

Where:

\(N_b = \text{Ideal Number of Buckets (unitless)}\)

\(D_p = \text{Wheel Diameter (inches)}\)

\(d_j = \text{Jet Diameter (inches)}\)

For 20 centimeter Pelton wheel:

\[ 20 \text{ cm} = 7.9 \text{ inches} \]

\[ N_b = \frac{7.9}{1} + 15 \]
\[ N_b = 23 \text{ buckets} \]

In order to optimize the Crossflow turbine, the number of runner blades, blade spacing and the inlet angle of the blades need to be evaluated for the size and outer diameter. It has been determined that the optimized blade inlet angle is 30 degrees (Win et al, 2008).

Equation 32 and Equation 33 were used to evaluate the number of runner blades:

\[ n = \frac{\pi \times D_1}{t} \quad (32) \]

\[ t = \frac{k \times D_1}{\sin \beta_1} \quad (33) \]

(Win et al, 2016)

Where:

\[ n = \text{Ideal Number of buckets (unitless)} \]

\[ D_1 = \text{Outer Diameter (cm)} \]

\[ t = \text{Blade Spacing (cm)} \]

\[ k = 0.075 \]

For 20 centimeter Crossflow turbine:

\[ t = \frac{0.075 \times 20}{\sin(30)} = 3 \]

\[ n = \frac{\pi \times 20}{3} = 21 \text{ blades} \]
Based on the blade optimization calculations for the turbines, new SolidWorks models were created. Figure 41 and Figure 42, show the optimized Pelton and Crossflow turbines at a 20-centimeter diameter, respectively. The turbines were sent to the Dimension SST 1200es, in order to be printed in one piece.

*Figure 41: Optimized Pelton Wheel 20 centimeters (a) Top View (b) Side View*
Final Turbine Selection

Final turbine testing on the Pelton and Crossflow were evaluated based on the RPMs and stall to torques, using the 20-centimeter outer diameter prints. The turbines were placed in the turbine stand and the hose exit was held at a height of 0.5 meters (1.7 feet) above the turbines. Each turbine was tested under four flow rates: 8 GPM, 6 GPM, 4 GPM, and 2 GPM. The same tachometer and torque meter from the preliminary testing of the 10-centimeter diameter turbines was used for this round of turbine testing.

Table 6 shows the mean and standard deviation for the Crossflow RPM and stall torque. Table 7 shows the mean and standard deviation for the Pelton RPM and stall torque. The values were adjusted by identifying and eliminating outliers from the raw data using box and whisker plots. The Crossflow adjusted mean value was 285 RPM (standard deviation of 19.1 RPM) with a stall torque of 23.2 ounce-inch (standard deviation of 1.1 ounce-inch) for 8 GPM. Similarly, the Pelton
had a mean of 328 RPM (standard deviation of 7.70 RPM), and a stall torque of 24 ounce-inch (standard deviation of 2.5 ounce-inch). Because the turbine values were very similar to each other during the tests, the final selection was determined using the data gathered from power outcome during the entire system testing, found in the 4.0 Testing Section.

Table 6: Results of Preliminary Crossflow Testing

<table>
<thead>
<tr>
<th>Flow rate (GPM)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean Rotational Speed (RPM)</td>
<td>285±19.1</td>
<td>260±7.1</td>
<td>192±17.1</td>
<td>188±18.9</td>
</tr>
<tr>
<td>Mean Stall Torque (oz.-in.)</td>
<td>23.2±1.1</td>
<td>9±0.58</td>
<td>6±0.6</td>
<td>3±0.6</td>
</tr>
</tbody>
</table>

Table 7: Results of Preliminary Pelton Testing

<table>
<thead>
<tr>
<th>Flow rate (GPM)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean Rotational Speed (RPM)</td>
<td>328±7.70</td>
<td>272±11.3</td>
<td>207±11.9</td>
<td>212±11.8</td>
</tr>
<tr>
<td>Mean Stall Torque (oz.-in.)</td>
<td>24±2.5</td>
<td>13±0.58</td>
<td>11±0.0</td>
<td>7±0.6</td>
</tr>
</tbody>
</table>

In order to put the RPM and torque values for both of the turbines into perspective, the theoretical power was calculated. The theoretical maximum power can be derived by using Equation 34, Equation 35, and Equation 36. In Equation 34, the relationship between torque and angular velocity is presented as linear with a slope of $k$. The proof for this relationship is in Section 4.1 and is directly shown in Equation 54.

$$T = T_{max} + k \cdot w$$ (34)

$$k = \frac{-T_{max}}{w_{max}}$$ (35)

$$P = T \cdot w$$ (36)
Where:

\[ P = \text{Power (Watts)} \]

\[ T = \text{Torque (Nm)} \]

\[ T_{\text{max}} = \text{Maximum Torque, Stall Torque (Nm)} \]

\[ w = \text{Angular Velocity of Turbine (rad/s)} \]

\[ w_{\text{max}} = \text{Maximum Angular Velocity, i.e. Freewheel (rad/s)} \]

\[ k = \text{Slope (T/w)} \]

Using these equations, the angular velocity can be seen in Equation 38 and the torque at the maximum power can be seen in Equation 39:

\[ P = (T_{\text{max}} + k \cdot w) \cdot w \]

\[ P = T_{\text{max}} \cdot w + k \cdot w^2 \]

\[ \frac{dP}{dw} = T_{\text{max}} + 2 \cdot k \cdot w \]

To maximize power:

\[ 0 = T_{\text{max}} + 2 \cdot k \cdot w \]

Solving for angular velocity:

\[ w = \frac{-T_{\text{max}}}{2 \cdot k} \]

\[ w = \frac{-T_{\text{max}}}{2 \left( \frac{T_{\text{max}}}{w_{\text{max}}} \right)} \]

\[ w = \frac{w_{\text{max}}}{2} \]  (38)

Knowing the angular velocity at maximum power the torque at maximum power can be determined:
\[ T = T_{\text{max}} + k \times w \]

\[ T = T_{\text{max}} + \left( -\frac{T_{\text{max}}}{\omega_{\text{max}}} \right) \left( \frac{\omega_{\text{max}}}{2} \right) \]

\[ T = \frac{T_{\text{max}}}{2} \quad (39) \]

The relationship between torque and angular velocity and the maximum power points are shown in Figure 43.

Replacing the torque and angular velocity expressions for maximum power into the power relationship, Equation 40, yields Equation 41:

\[ P_{\text{out}} = \tau_m \times \omega_m \quad (40) \]

\[ P_{\text{out}} = (0.5 \times \tau_m) \times (0.5 \times \omega_m) \quad (41) \]

Where:

\( \tau_s = \text{Stall Torque (Nm)} \)

\( \tau_m = \text{Max Power Torque (Nm)} \)
\( \omega_n = \text{Free Wheel Angular Speed} \ (\frac{\text{rad}}{s}) \)

\( \omega_m = \text{Max Power Angular Speed} \ (\frac{\text{rad}}{s}) \)

To convert the measured torque from ounce-inches to Newton-meters, and the measured angular velocity from RPMs to radians per second, Equations 42 and 43 were used respectively:

\[
\tau_{N\cdot m} = \tau_{oz\cdot in} \times \left( \frac{1 \ N \cdot m}{141.6 \ oz \cdot in} \right) \quad (42)
\]

\[
\frac{\omega_{\text{rad}}}{s} = \omega_{\text{RPM}} \times \left( \frac{2\pi \ rad}{\text{revolution}} \right) \times \left( \frac{1 \ \text{minute}}{60 \ \text{seconds}} \right) \quad (43)
\]

Figure 44 displays the theoretical power calculations for the Pelton and Crossflow using the RPM and stall torque data and the equations described above.
The line of best fit in Figure 44 shows a cubic relationship between the power output and the flow. The cubic relationship that we observed aligns with theory because in this experimental setup, the water was directed onto the turbine by a hose held at 0.5 meters (1.7 feet) above the turbine. In this case, the kinetic energy is not negligible because it is about equal in magnitude to the potential energy. The cubic relationship can be derived observed in the following Power analysis, Equation 44:

\[
P = \frac{1}{2} m v^2 + m g h
\]

\[
P = \frac{1}{2} [Q \rho] \left(\frac{Q}{A}\right)^2 + Q \rho g h
\]

Where:

\( P = \text{Power (Watts)} \)
\( m = \text{Mass Flow Rate of Water} \left( \frac{kg}{s} \right) \)
\( v = \text{Velocity of Water from the Hose} \left( \frac{m}{s} \right) \)
\( Q = \text{Volumetric Flow Rate} \left( \frac{m^3}{s} \right) \)
\( \rho = \text{Density of Water} \left( \frac{kg}{m^3} \right) \)
\( A = \text{Cross Sectional Area of Hose} \left( m^2 \right) \)
\( g = \text{Gravitational Acceleration} \left( \frac{m}{s^2} \right) \)
\( h = \text{Height (m)} \)

This brief theoretical check proves that a cubic relationship between flow and power, plus an offset that is related to the flow and height, exists at a low height.
3.6 Electrical Component Selection

Direct current permanent magnet motors were researched with RPM and torque ranges that were compatible with the output from our turbines, maximum RPMs in the range of 300 to 500 and maximum torques from 10 to 20 ounce-inches. Through the research, we found a micro gear motor rated at a max speed of 460 RPM and a stall torque of 20-ounce inch sold by Sparkfun. Once the motor was purchased, the internal resistance of the motor was measured using a multimeter and was found to be 16.7 Ohms. This resistance was cross checked by measuring the open circuit voltage of the motor and then adding a resistance of 16.5 Ohms externally and re-measuring the voltage. The open circuit voltage was 6 volts and the voltage drop in the second setup with the voltage divider resistor had a voltage of 3 volts. This proved that the motor’s internal resistance was about 16.7 Ohms. Additionally, the 16.5 Ohm resistance was utilized to model a load. It was chosen because the maximum power delivered to a load is when the resistance externally matches the Thevenin equivalent resistance of the power source, this is derived in Jacobi’s Law.

To actually generate power, the motor output shaft was connected to the turbine stand and shaft and the wire leads were connected to a circuit. With this configuration the motor became a generator. The generator’s max speed and stall torque were compared to the experimentally measured stall torque and RPM outputs to confirm its compatibility. The generator’s 3 millimeter shaft was rated for a torque of 20 ounce inch and speed was rated for 460 RPM. The turbine shaft and generator shaft were connected as done through a series of two spur gears shown in Figure 45.

![Figure 45: Series of Two Spur Gears](image.png)
To find the force of the input gear at the meshing point, Equation 45 was used:

\[ F_{in} = \frac{T_{in}}{R_{in}} \]  \hspace{1cm} (45)

Assumed the force from Gear 1 was transmitted to Gear 2 with a 90% efficiency ("A second look at gearbox efficiencies") was calculated by Equation 46:

\[ F_{out} = F_{in} \times 0.9 \]  \hspace{1cm} (46)

To find the torque on the second gear's shaft, Equation 47 was used:

\[ T_{out} = F_{out} \times R_{out} \]

\[ T_{out} = (0.9 \times T_1) \times \frac{R_{out}}{R_{in}} \]

\[ T_{out} = (0.9 \times T_1) \times \frac{n_{out}}{n_{in}} \]  \hspace{1cm} (47)

Where:

\[ F_{in} = \text{Force in (oz.-in.)} \]

\[ F_{out} = \text{Force out (oz.-in.)} \]

\[ T_{in} = \text{Torque in (oz.-in.)} \]

\[ T_{out} = \text{Torque out (oz.-in.)} \]

\[ R_{in} = \text{Radius in (inches)} \]

\[ R_{out} = \text{Radius out (inches)} \]

\[ n_{in} = \text{Teeth in} \]

\[ n_{out} = \text{Teeth in} \]
The torque output was solved for the maximum output stall torque:

\[
T_{out} = 0.9 \times 26.5 \times \frac{24}{30}
\]

\[
T_{out} = 19.08 \text{ oz.-in.}
\]

This output torque was determined using the highest \(T_{in}\) value that we experienced during testing. The \(T_{out}\) value falls within the 20 ounce-inch threshold of the motor.

Next we compared the RPM rating of the motor to the max RPM output from the turbine using Equation 48.

\[
W_{out} = \frac{N_{in}}{N_{out}} \times W_{in}
\]

Where:

\(W_{out} = \text{RPM out}\)

\(W_{in} = \text{RPM in}\)

\(N_{out} = \text{Teeth out}\)

\(N_{in} = \text{Teeth in}\)

\[
W_{out} = \frac{30}{24} \times 470
\]

\[
W_{out} = 587.5 \text{ RPM}
\]

The maximum RPM of the motor shaft is 587.5 RPM which is above the 460 RPM threshold of the motor. Because this RPM is the freewheel RPM of the turbine, this value should
not be reached. Therefore, after analyzing the torque and the RPM on the motor, we determined the gearbox and the motor connection would be sufficient for our system, Figure 46.

To fix the motor in place in relation to the turbine stand a clamping mechanism was designed and machined by Thomas Koutrron of the Higgins Machine shop. We thank him for his contribution to the project; this attachment can be seen in Figure 47.
We adapted a watertight container to create the dry compartment for the generator system. An image of the stand with the generator encasement is shown below in Figure 48. This held the generator and the multimeter so that we could continuously measure the voltage, in order to calculate the power output versus the flow.

![Generator Encasement](image)

*Figure 48: Generator Encasement*
3.7 Design Tree Summary

The design tree reflects the decisions we have made along the course of designing the system. In order to make decisions about the gutter, downspout connections, turbine, and motor, we had to do several preliminary tests. The results of each of these tests is summarized in Figure 49.

![Design Tree Summary Diagram]

Figure 49: Design Tree Summary
4.0 Testing and Results

In this section, the methods of testing our system will be discussed. Prior to testing the full system with the motor attached, we first tested the motor and gutter/downspout subsystem to ensure these components functioned properly together.

4.1 RPM and Torque Testing

Before attaching the motor to the system, RPM and stall torque data was recorded for both the Pelton wheel and Crossflow turbine when the water was coming from the gutter as opposed to directly from the hose as in previous tests. Each turbine was installed on the turbine stand and placed under the final design of the gutter and downspout subsystem to conduct the RPM and torque tests. The gutter was sloped 15 millimeters (0.6 inch) per 1.5 meter (5 feet). The entrance of the downspout contained the 3D printed funnel with the exit opening of 19 millimeters (0.75 inch) and the 5.08 centimeter (2 inch) spout. The gutter and funnel were 2 meters above the turbine. The hose was placed in the gutter and the tests were conducted at 8 GPM, 6 GPM, 4 GPM, and 2 GPM. The same tachometer and torque meter described in section 3.5 were used to collect the measurements. We recorded the torque reading 10 times at each flow rate. In order to test the RPM, we positioned the laser of the tachometer on the reflective piece that was attached to the shaft. We recorded values for approximately 1 minute, or until we recorded 50 data points.
RPM and Torque Testing Results

The test results are summarized in Table 8 and Table 9.

Table 8: 20 centimeter Crossflow Power Calculations

<table>
<thead>
<tr>
<th>Flow rate (GPM)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stall torque (τ), oz-in</td>
<td>29.4 ± 2</td>
<td>12 ± 2</td>
<td>6.8 ± 2</td>
<td>3 ± 2</td>
</tr>
<tr>
<td>Speed (ωn), RPM</td>
<td>440 ± 8</td>
<td>300 ± 67</td>
<td>278 ± 6</td>
<td>267 ± 21</td>
</tr>
<tr>
<td>Max Power (Pm), Watts</td>
<td>2.38</td>
<td>0.70</td>
<td>0.36</td>
<td>0.15</td>
</tr>
</tbody>
</table>

Table 9: 20 centimeter Pelton Power Calculations

<table>
<thead>
<tr>
<th>Flow rate (GPM)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stall torque (τ), oz-in</td>
<td>28.4 ± 3</td>
<td>12.4 ± 2</td>
<td>8.6 ± 2</td>
<td>4.5 ± 1</td>
</tr>
<tr>
<td>Speed (ωn), RPM</td>
<td>470 ± 34</td>
<td>394 ± 8</td>
<td>375 ± 55</td>
<td>221 ± 32</td>
</tr>
<tr>
<td>Max Power (Pm), Watts</td>
<td>2.47</td>
<td>0.90</td>
<td>0.60</td>
<td>0.18</td>
</tr>
</tbody>
</table>

Figure 50 plots the predicted power for each turbine at each flow rate with the theoretical power calculated in Section 3.2. The initial potential energy of the water was the main source of power because the initial kinetic energy was negligible, this is proven in Section 3.2. Neglecting the initial kinetic energy of the water resulted in the same final velocity of water, 7.47 m/s (shown in Section 3.2, Equation 7). Therefore, the power output was linear with respect to the volumetric flow rate (shown in Section 3.2, Equation 9).
Theoretical Validation of RPM and Torque Testing

Theoretical validation of the measured values was only completed for the Pelton wheel because the power equations for Pelton wheels were readily accessible. The validation was calculated at 8 gallons per minute. The same equation used in Section 3.2 to model the power produced by a Pelton wheel can be calculated by Equation 49:

\[ P = \rho \times Q \times U \times (U - V)(1 - \cos \beta) \]  

\[(49)\]

(Munson et al., 2013, pg. 700)

Where:

\[ P = \text{Power (Watts)} \]

\[ \rho = \text{Density of Water} \left( \frac{kg}{m^3} \right) \]
\[ Q = \text{Flow Rate} \left( \frac{m^3}{s} \right) \]

\[ U = \text{Blade Speed} \left( \frac{m}{s} \right) \]

\[ \beta = \text{Exit Angle of the Blade (Degrees)} \]

Additionally, the power can be calculated by Equation 50:

\[ P = T \times w \]  \hspace{1cm} (50)

Where:

\[ T = \text{Torque (Nm)} \]

\[ w = \text{Angular Velocity} \left( \frac{rad}{sec} \right) \]

An equation for torque as a function of angular velocity if given by dividing the power by the angular velocity calculated by Equation 51:

\[ T = \rho \times Q \times U \times (U - V) \times (1 - \cos \beta) \left( \frac{1}{w} \right) \]  \hspace{1cm} (51)

Substitutions were made for the blade speed can be calculated by Equation 52:

\[ U = w \times R \]  \hspace{1cm} (52)

Where:

\[ R = \text{Turbine Radius (m)} \]

Additionally, the jet velocity can be calculated by Equation 53:

\[ V = \sqrt{2 \times g \times h} \]  \hspace{1cm} (53)

Where:
\[ h = \text{Height of Water Falling (m)} \]

With substitutions, the torque can be calculated by Equation 54:

\[
T = \rho \times Q \times R (wR - \sqrt{2 \times g \times h}) (1 - \cos \beta) \tag{54}
\]

The stall torque or maximum torque can be determined by setting the angular velocity to zero in Equation 55.

\[
T_{max} = \rho \times Q \times R \left( \sqrt{2 \times g \times h} \right) (1 - \cos \beta) \tag{55}
\]

\[
T_{max} = 1000 \times 0.000505 \times 0.1 \left( \sqrt{2 \times 9.8 \times 2} \right) (1 - \cos(165))
\]

\[
T_{max} = 0.622 \text{ Nm (88.1 oz.-in.)}
\]

The freewheel or maximum angular velocity can be determined in Equation 56, by setting the torque to zero:

\[
0 = \rho \times Q \times R \left( w_{max} \times R - \sqrt{2 \times g \times h} \right) (1 - \cos \beta) \tag{56}
\]

\[
w_{max} = \frac{\sqrt{2 \times g \times h}}{R}
\]

\[
w_{max} = \frac{\sqrt{2 \times 9.8 \times 2}}{0.1}
\]

\[
w_{max} = 62.6 \text{ rad/sec (597 RPM)}
\]

The theoretical freewheel angular velocity and the stall torque can be compared to those of the Pelton wheel at 8 gallons per minute, Table 10.
Table 10: Comparison of Measured RPM and Torque to Theoretical Calculations

<table>
<thead>
<tr>
<th></th>
<th>Measured Experimentally</th>
<th>Theoretically Calculated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max Angular Velocity (RPM)</td>
<td>470 ± 34</td>
<td>597</td>
</tr>
<tr>
<td>Max Torque (oz-in)</td>
<td>28.4 ± 3</td>
<td>88.1</td>
</tr>
</tbody>
</table>

The experimentally measured values are lower than the theoretical values calculated. However, the measured RPM and stall torque have non-idealities, such as friction and the stream not being in full contact with the buckets, so they are in alignment with the theoretical predictions. With all of the preliminary design and testing completed and verified we moved onto the full system testing of both turbines.

4.2 Full System Testing

Once we felt confident with the individual components of the system, we tested the whole system with the motor. The motor was connected to a breadboard with two resistors in series summing to a total resistance of 17 Ohms. Alligator clips of a multimeter were attached to the circuit in order to read the voltage. The breadboard and multimeter were placed in the watertight casing, and the turbine was positioned under the downspout. The same gutter and downspout final design described in Section 4.1 was used again for the full system testing. The hose was placed in the gutter and both turbines were tested under 8, 6, 4, and 2 GPM flow rates. For each flow rate, we recorded 15 voltage readings. These readings were then averaged, and the average voltage was used to calculate the power using the relationship $P = \frac{V^2}{R}$. The maximum voltage from the 15 readings for each flow rate was used to calculate the maximum power.

Full System Testing

The results of the motor tests are summarized in Table 11 and Table 12.
Table 11: 20 centimeter Pelton Wheel Actual Power Production

<table>
<thead>
<tr>
<th>Flow rate (Gallons per Minute)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average (Volts)</td>
<td>3.29</td>
<td>1.25</td>
<td>0.397</td>
<td>0.225</td>
</tr>
<tr>
<td>Max (Volts)</td>
<td>3.54</td>
<td>1.42</td>
<td>0.500</td>
<td>0.300</td>
</tr>
<tr>
<td>Standard Deviation (Volts)</td>
<td>0.157</td>
<td>0.111</td>
<td>0.055</td>
<td>0.056</td>
</tr>
<tr>
<td>Average Power (Watts)</td>
<td>0.636</td>
<td>0.093</td>
<td>0.009</td>
<td>0.003</td>
</tr>
<tr>
<td>Maximum Power (Watts)</td>
<td>0.737</td>
<td>0.119</td>
<td>0.015</td>
<td>0.005</td>
</tr>
</tbody>
</table>

Table 12: 20 centimeter Crossflow Turbine Actual Power Production

<table>
<thead>
<tr>
<th>Flow rate (Gallons per Minute)</th>
<th>8</th>
<th>6</th>
<th>4</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average (Volts)</td>
<td>2.23</td>
<td>0.915</td>
<td>0.295</td>
<td>0.000</td>
</tr>
<tr>
<td>Max (Volts)</td>
<td>2.42</td>
<td>1.02</td>
<td>0.400</td>
<td>0.000</td>
</tr>
<tr>
<td>Standard Deviation (Volts)</td>
<td>0.185</td>
<td>0.086</td>
<td>0.068</td>
<td>0.000</td>
</tr>
<tr>
<td>Average Power (Watts)</td>
<td>0.292</td>
<td>0.050</td>
<td>0.005</td>
<td>0.000</td>
</tr>
<tr>
<td>Maximum Power (Watts)</td>
<td>0.344</td>
<td>0.061</td>
<td>0.009</td>
<td>0.000</td>
</tr>
</tbody>
</table>

Figure 51, plots the actual power production for each turbine at each flow rate with the predicted power production estimated in Section 4.1.
As expected the Pelton wheel generated more power than the Crossflow turbine. Therefore, going forward, we will use the Pelton at 8 gallons per minute as a representative case for further calculations.

Theoretical Determination of the Angular Velocity in the Full System Results

In order to determine if the system was actually operating at maximum power, we theoretically determined the angular velocity of the full operating system. The results previously presented actually show the power dissipated over the 17 Ohm resistor external to the generator; however, it can also be recognized that the generator had an internal resistance of 17 Ohms and therefore the real turbine power produced was twice the measured amount, as half was internally dissipated by the generator resistance. Knowing this and knowing the gear ratio of the spur gears, the power generated by the turbine can be determined by doubling the measured power and dividing by the spur gear efficiency. The electrical power measured for the Pelton wheel at 8 gallons per minute was 0.74 Watts. The estimated power generated by the turbine is calculated by Equation 57:

\[
\frac{0.74 \times 2}{0.9} = 1.64 \text{ Watts}
\]

This estimated power produced by the turbine during full system testing can be used in the Pelton wheel power equation to approximate the angular velocity of the system during this power production. The quadratic equation for power was solved to determine the angular velocity operating point, all of the same variable declarations from Section 4.1 apply and the conditions for the Pelton wheel at 8 gallons per minute were calculated by Equation 58:

\[
0 = [\rho \times Q \times R^2] \times w^2 - [\rho \times Q \times R \times \sqrt{2 \times g \times h}] \times w - \frac{P}{(1 - \cos \beta)}
\]
\[ 0 = [1000 \times 0.00054 \times 0.1^2] \times w^2 - [1000 \times 0.00054 \times 0.1^2 \times \sqrt{2} \times 9.8 \times 2] \times w \cdot \frac{1.64}{(1 - \cos(165))} \]

\[ w = 65.14 \text{ rad/sec (622 RPM)} \]

This operating value for angular velocity is high due to not accounting for losses, but this angular velocity value still shows that the system is most likely not operating at the optimal rotational velocity for maximum power. The maximum power angular velocity would be half of the freewheel angular velocity, which would be 300 RPM, so having calculated 622 RPM for the operating angular velocity indicates that we were operating the system at its not most efficient state.

4.3 Loss Considerations and Efficiency

A quantitative efficiency analysis was conducted for the 20 centimeter Pelton wheel turbine for a flow of 8 gallons per minute from a gutter elevated 2 meters with a 1% slope. A summary of the losses identified in our system is shown in Figure 52.

![Figure 52: Efficiency Flow Chart](image)

The theoretical potential energy flow of rain was calculated in Section 3.2 to be 10 Watts based on the turbine power equation. Then the pressure head loss due to the funnel was considered in Section 3.4; however, the water did not significantly back up in the gutter as a result of the funnel and the minor losses from friction in the funnel were small so they were not calculated. The breakup of water in the air was qualitatively documented but not quantitatively analyzed. At higher flow rates,
such as 8 gallons per minute, the documented breakup of water in the air was relatively small
whereas at lower flow rates, such as 2 gallons per minute, a primary stream could not be identified,
so this loss was noted but not considered. The next loss considered was the turbine efficiency. The
energy flow output from the turbine, when the turbine is operating at maximum power, was
calculated using the stall torque and maximum RPM of the Pelton wheel, and came out to be 2.47
Watts. The estimated efficiency of the system, which primarily is attributed to the turbine efficiency,
is 25%. Next, the efficiency of the spur gear set connecting the turbine shaft to the generator shaft
was assumed to be 90%. The generator incurred losses due to its gear train and internal resistance.
The overall output power measured was 0.74 Watts from the generator. However, the generator had
an internal resistance which dissipated 50% of the power. This means that the power input to the
generator shaft, which is the extractable power, was 1.48 Watts. Therefore, the overall system
efficiency can be considered as the power extractable from the system divided by the energy flow
input to the system, which is 14.8% efficient overall. This efficiency represents the operating state of
the Pelton wheel as tested; however, it is likely that the Pelton was not actually in its maximum
power torque and angular velocity states, as shown in Section 4.2. Therefore, with system
improvements to ensure that the system is operating at its maximum power, a higher efficiency
would be achieved.
5.0 Conclusions and Recommendations

The goal of this project was to provide energy from rainwater to charge cell phones or batteries for light in areas that had a lot of rainfall but minimal electricity. We identified Liberia as a case study area for our implementation due to it having a yearly rainfall rate of over 2500 millimeters (98.4 inches) a year (Golder Associates, 2012) and less than 1.2% electrification rate in rural areas (Liberia Institute of Statistics and Geo-Information Services, 2013). Using estimates for Liberian rainfall rates, our system could produce 2,664 Joules of energy in only a 30-minute rainstorm with a flow of 8 gallons per minute. This is enough to charge a cellphone approximately 13%. A monthly approximation for the number of cell phones can be made based on the system efficiency of 15%, a roof of 5 meters by 3 meters, a height of 3 meters, and using data for the average rainfall in the rainiest month, which is June and 533 millimeters (21.9 inches) of rain (Golder Associates, 2012). Based on these considerations 1.8 cell phones could be charged. It must be noted that only the accumulated rainfall for June was considered as opposed to the number, intensity, and duration of the storms in June. If a flow rate of less than 4 gallons per minute occurs, the system as it is may not even be able to start so that energy would need to be neglected. Therefore, less phones may be able to be charged then this reported 1.8 cell phones. Overall the project goal of producing energy from rainwater was achieved. This system would be more cost-effective if the energy produced was able to charge more cell phones in a given month. More energy could be generated if a higher efficiency was realized, which is possible given the recommendations provided in Section 5.2.

5.1 Expenses

The total cost of this system is important to consider as we are proposing it in low-income regions such as Liberia. The fundamental materials the system required were the gutters, downspout, connectors, gutter hangers, and hardware. Based on the images of homes in Liberia, not all homes
have gutters. Thus, we will assume the homes need gutter systems in our cost analysis. These materials cost a total of $48.71 from a local hardware store. Bearings, the shaft, and acrylic were bought in order to make the turbine casing, amounting to $64.67. The price of the turbine casing is dependent on the size of the motor, and modifications to the material can be made in order to reduce cost.

In order to generate power, the system required a turbine and motor. Based on estimates from Dr. Erica Stults, the material cost for 3D printing the 20 centimeter Pelton wheel totaled to $75. The motor cost $12.95 from Sparkfun, and is sufficient for this application. The total cost of the system, using the Pelton wheel, is $201.33. The amount that we spent to create this system does not reflect what the system might cost if being implemented in low-income areas. We recommend partnering with a non-profit organization to get funding for the low-income areas. Partner Liberia is an example of an organization that helps bring green energy to low-income locations in Liberia (“Green Energy”).

5.2 Recommendations for Future Work

For the current system we are proposing, there are several improvements that could be made if this project were to be continued. We developed these ideas based on problems we ran into throughout the course of the project. An improvement that could be made is a way to prevent the turbine from sliding along the shaft once water starts hitting it. We created two shaft collars to keep the shaft from sliding on the acrylic stand, but believe that two more might be necessary in order to keep the turbine from sliding on the shaft itself. We also had several problems with rust on the steel shaft. It would be useful to look use stainless steel as a shaft material to avoid developing rust. We also recommend that more work should be done on creating water tight encasement for the turbine, as well as the electronics.
In our current system, we made our best judgement as to where the stream of water needed to hit the turbine to produce the highest RPMs and stall torque values. If this project were to continue, it would be useful to do more testing and research into optimal impact areas on the turbine. Also, given that the location of the stream differs slightly during higher and lower flow rates, it would be useful to determine the optimal position of the turbine to capture the most energy during all flow rates. Further research is necessary to determine the optimal blade size for the stream of water expected in a given location.

Some improvements could also be made to make the system more cost effective. 3D printing turbines can be expensive, so alternative manufacturing methods should be considered. In addition, acrylic was used for the turbine stand because we could easily laser cut it in the machine shop at WPI. A single sheet of 18” x 24” acrylic costs $26.54 at Home Depot. A cheaper material should be considered for implementation in a lower-income community.

There are several components to the system we are proposing that were out of the scope of this project. We did not take into consideration the design of the water filtration or holding tank that we recommend is paired with this system to provide clean drinking water. Research could be done into existing filtration systems and how they could be best integrated into our energy harvesting design. Furthermore, a vertical downspout was determined to be the best orientation for the system. However, a vertical downspout would not be able to attach to the side of a house for support due to the overhang of the roof. Future work on the system should include developing a structure to support the downspout so that it does not sway in the wind. Lastly, we realize that the amount of power that our system produces is very small, and will come at variable and unpredictable times. Therefore, we recommend that research is done into the best energy storage for this system.
References


Appendix A: Sizing of Rectangular and Half Round Gutters for English and Metric Units
Charts Credit: Architectural Sheet Manual, 2017

CHART 1-1 WIDTH OF RECTANGULAR GUTTERS FOR GIVEN ROOF AREAS AND RAINFALL INTENSITIES
CHART 1–2 HALF ROUND GUTTER SELECTION WIDTH REQUIRED FOR GIVEN ROOF AREAS AND RAINFALL INTENSITIES
Appendix B: Overshot Water Wheel Assembly Drawings
Appendix D: Crossflow Turbine Assembly Drawings
Appendix E: SolidWorks Static Simulations – Overshot Water Wheel

Displacement:

Static:
Appendix F: SolidWorks Static Simulations – Pelton Wheel

Displacement:

Static:
Appendix G: SolidWorks Static Simulations – Crossflow Turbine

Displacement:

Strain: